The International Union for Conservation of Nature (IUCN)

Founded in 1948, IUCN brings together States, government agencies and a diverse range of non-governmental organizations in a unique world partnership: over 1,000 members in all, spread across some 140 countries. As a Union, IUCN seeks to influence, encourage and assist societies throughout the world to conserve the integrity and diversity of nature and to ensure that any use of natural resources is equitable and ecologically sustainable. IUCN builds on the strengths of its members, networks and partners to enhance their capacity and to support global alliances to safeguard natural resources at local, regional and global levels.

IUCN Species Survival Commission

The Species Survival Commission (SSC) is the largest of IUCN's six volunteer commissions with a global membership of 8,000 experts. SSC advises IUCN and its members on the wide range of technical and scientific aspects of species conservation and is dedicated to securing a future for biodiversity. SSC has significant input into the international agreements dealing with biodiversity conservation. Web: www.iucn.org/themes/ssc

IUCN Species Programme

The IUCN Species Programme supports the activities of the IUCN Species Survival Commission and individual Specialist Groups, as well as implementing global species conservation initiatives. It is an integral part of the IUCN Secretariat and is managed from IUCN’s international headquarters in Gland, Switzerland. The Species Programme includes a number of technical units covering Wildlife Trade, the Red List, Freshwater Biodiversity Assessments (all located in Cambridge, UK), and the Global Biodiversity Assessment Initiative (located in Washington DC, USA).

IUCN SSC Primate Specialist Group

The Primate Specialist Group (PSG) is concerned with the conservation of more than 630 species and subspecies of prosimians, monkeys, and apes. Its particular tasks include carrying out conservation status assessments, the compilation of action plans, making recommendations on taxonomic issues, and publishing information on primates to inform IUCN policy as a whole. The PSG facilitates the exchange of critical information among primatologists and the professional conservation community. The PSG Chairman is Russell A. Mittermeier, the Deputy Chair is Anthony B. Rylands, and the Coordinator for the Section on Great Apes is Liz Williamson. Web: www.primate-sg.org/
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Executive Summary

The combination of threats currently facing the remaining great apes requires immediate conservation action at all scales — from site-level initiatives, through national and regional strategies, to international conventions and action plans. Baseline density estimates and subsequent monitoring of ape populations are essential for assessing the impacts of particular threats and measuring whether conservation programmes are succeeding.

This document outlines current approaches to great ape surveys and monitoring for field biologists, protected area managers, government wildlife departments and the conservation community at large. Detailed, additional information on survey design, field methods, analytical approaches, and practical considerations such as logistics, finance, and standardised reporting, form Sections 3 to 8, which are available online and can be downloaded at http://apes.eva.mpg.de/guidelines.html. These guidelines are mostly web-based to allow continuous revision and to supply updates as field methods and statistical packages improve with time. It should be kept in mind that there is no “best” survey method that suits all purposes, and is efficient, precise, reliable, simple and cheap at the same time. An effort has been made not simply to repeat texts that already exist in the literature, but to provide real and practical guidance that may not exist elsewhere. Some key texts by other authors, such as Blake (2005) and White and Edwards (2000), can be found at http://apes.eva.mpg.de/documentation.html. A bibliography and resource lists that provide contacts for further information and funding (Annex I) and for obtaining GIS data (Annex II) conclude this document.

The conservation of wild great apes requires a detailed understanding of their population size, spatial distribution and demographic trends. Survey and monitoring programmes are designed to provide exactly this kind of information. Ideally, survey and monitoring data permit evaluation of the sources and impacts of threats, such as hunting, habitat degradation and fragmentation, disease and natural catastrophes. They should enable identification of areas of high conservation value and evaluation of the effectiveness of protection and management strategies. However, in reality the conservation status of most wild ape populations is still poorly known. Great apes occur at low densities throughout their range, and often in remote places with difficult access. When these factors are combined with their cryptic nature, the implementation of efficient survey and monitoring programmes is notoriously difficult. As a result, action plans issued for both African and Asian great apes over the past few years have emphasized the need to properly document the conservation status of wild populations (e.g., Kormos and Boesch 2003; Singleton et al. 2004; Tutin et al. 2005). Examining the distribution and intensity of threats, and current great ape distributions helps in the identification of the best sites for new protected areas, and provides empirical data to evaluate existing management strategies in protected and non-protected areas harbouring great ape populations. Finally, these data are essential for IUCN Red List of Threatened Species™ assessments, which should be based on actual population size and status.

Surveying most great ape populations requires long walks in remote forests. Here a team is looking for orangutan nests during a combination of recce walks and line transects in the forests of Sabah, Malaysian Borneo.

Photo: © M. Ancrenaz
Section 1. Introduction

1.1. Overview

From the beginning, biologists studying great apes have been producing maps of their geographic distributions. Population size estimates followed, which ranged from “best guesses” based on interviews with local hunters or foresters at remote sites, through sample-based methods aimed at estimating a mean density across a large area, to fairly accurate head counts which assumed that most of the apes in an area of interest were known individually. Monitoring of great apes has most often consisted of long-term studies of focal groups, such as the chimpanzees of Gombe (Pusey et al. 2007) and Mahale (Nishida et al. 2003), and the mountain gorillas of Karisoke (Robbins et al. 2001). However, it is neither feasible nor cost effective to habituate and monitor multiple groups of each great ape taxon over a large landscape.

As habitat loss and fragmentation of humid forests became more widespread in the 1980s, and as it became known that hunting and illegal killing were taking direct and heavy tolls on great apes throughout their range, concerned scientists began to consider whether it was possible to estimate the size of entire populations of a given species and monitor changes in their distribution and abundance (Ghiglieri 1984; Tutin and Fernandez 1984). A major indirect threat to great apes is conventional industrial logging, which alters their habitat and most importantly creates a network of roads through the forests, allowing hunters and agriculturalists easy access to previously remote territory (thus facilitating both hunting and further habitat loss). Civil unrest pushes displaced people into remote, uninhabited forests where they disturb and may hunt larger species such as apes (e.g., Hart and Mwinyihali 2001; Kalpers 2001). Civil unrest also results in the proliferation of automatic weapons. This in turn leads to increased hunting of wildlife, and a breakdown of law and order where wildlife protection laws are often the first to be ignored.

A network of protected areas now exists across the forested humid tropics, where, in theory at least, apes are protected from hunting by law and the forests themselves are protected from logging or other human modifications. This combination of protecting the apes themselves and protecting large areas of good quality habitat should, in theory, have protected viable ape populations in perpetuity. However, it has recently become clear that infectious diseases and emergent pathogens also pose significant risks to the world’s great apes (Leendertz et al. 2006; Köndgen et al. 2008). In large parts of western equatorial Africa, gorilla and chimpanzee populations have been greatly reduced by Ebola haemorrhagic fever, which causes rapid and dramatic declines in
as little as a year (Bermejo et al. 2006). It is believed that this devastating disease has halved ape populations in the region within the last 20 years (Walsh et al. 2003, 2007). It has been estimated that, even if hunting and habitat loss could be halted today, it will take more than a century for ape populations in the areas so far affected by Ebola to fully recover.

Survey and monitoring efforts must not only take into account the behaviour of great apes and the variables known to be associated with favourable habitat, but also collect information on all major threats that jeopardize their long-term survival. Specifically, information on human signs and covariates of disturbance should be recorded during surveys. Information on the location of roads and railway lines, navigable rivers, human settlements and newly developed agricultural schemes should also be recorded as these variables affect great ape distribution and density.

Great apes build nests that consist of vegetative structures that can remain visible for weeks or months. Sample-based methods generally involve indirect counts of nests rather than direct counts of the apes themselves. Much effort has therefore gone into estimating the size of ape populations by counting their nests which (i) are much more numerous than their makers (ii) do not run away and (iii) are more visible. Nests accumulate over many months in any given area. Counting nest density thus allows us to estimate population density, assuming a standing crop of nests which decay at a given rate at a given site at a given season. Therefore, they are less sensitive than direct observations to short-term fluctuations in local density (due to seasonality). Repeated nest counts have been used to monitor the Virunga mountain gorillas since 1959. Some studies have concentrated on small populations based in and around existing protected areas, some on nationwide surveys, and still others have compared different survey and mapping methods to determine which was the most accurate, precise, or appropriate for different situations.

To date, most surveys have been carried out using nest counts for a specific site-based purpose. Many have been part of a research project, or a protected area monitoring programme. Many large areas have been surveyed only once, or not at all, due to lack of human and financial resources. Even where surveys have been undertaken recently, the results may not be precise enough to enable detection of change, whether positive or negative (Plumptre 2000). Another major problem is the conversion of nest counts into great ape population estimates. A constant fixed relationship between nest density and ape density does not exist. The rate of nest decay varies greatly between sites and seasons, so ideally surveys should incorporate a locally-derived and seasonally-appropriate estimate of nest decay rate. The data required to estimate nest decay can take more than a year to collect prior to the actual survey. This is rarely feasible when a series of sites across a nation or region is to be surveyed within a limited time (and budget). In addition, the sheer cost of covering large areas of wilderness on foot remains an obstacle to improving the precision and accuracy of survey and monitoring data.

Rarely have great ape survey and monitoring data for an entire country or species been centralised and examined for rate of decline or for changes in past and current geographic distribution. Most raw data and reports are scattered in desk drawers and filing cabinets or on hard drives or ageing discs. The recently established web-based Ape Populations, Environments and Surveys “A.P.E.S.” database (http://apes.eva.mpg.de) aims to centralise all great ape survey data, past and present. Analysis of these data will allow changes in great ape distribution and numbers to be tracked by and for the global conservation community. Government agencies in the great ape range states and international conservation agencies such as IUCN will be able to make informed decisions based on these data, which will provide a more comprehensive picture of great apes at the species and population level, not just the site-based information which exists today. All holders of relevant data are encouraged to contact A.P.E.S. (email apes@eva.mpg.de).

1.2. Scope of these guidelines

Most great apes live in dense tropical forest with poor visibility. Only in a very few cases can we count apes by direct observation. In the vast majority of cases we must rely on indirect signs or evidence, namely nests or dung. The tricky part is to translate the frequency with which these objects occur in the habitat into some measure of ape abundance. A variety of survey methods have been developed, and an overview is given in the next section, Section 2.
Survey results cannot be extrapolated from one region to another because ape density has been shown to vary dramatically even over small spatial scales. The relationship between the number of nests counted at one location and the number of apes producing them might be very different at another location due to variation in conversion factors (nest production, nest decay) or to differences in habitat between sites. Therefore, we must choose an appropriate survey design, one which will allow us to obtain a representative sample from the area of interest. Section 3 (online) discusses Survey Design and gives examples.

Ideally there should be adequate time and financial resources to conduct a well-designed survey of the area of interest. However, the unfortunate reality is that most projects have limited budgets and technical expertise. Section 4 (online) discusses Finance and Administration of Survey and Monitoring Projects.

The “garbage in, garbage out” data syndrome holds true for ape surveys. If the quality of data collected is poor, or the sampling design is not representative of the area of interest, we will have problems analysing and interpreting the data. Sampling procedure should follow a strict sampling design and field protocol to ensure consistent data collection of the best possible quality. More details can be found online in Section 5 (Field Issues: Logistics and Data Collection Protocols) and Section 6 (Training).

Data Analysis is a fundamental part of every survey and monitoring project (Section 7 online). A variety of analytical procedures and software tools have been developed. It is worthwhile consulting a professional statistician who is experienced in wildlife surveys and monitoring, when preparing a survey.

Surveys are often conducted for a specific project, which ends with a final report or publication. Others are conducted as part of a protected area monitoring programme. These data are valuable and are needed to analyse population trends. The archiving of data is therefore an important issue, and is discussed in Section 8 (Standardised Reporting, online).

1.3. Defining terms and differentiating surveys from monitoring

Great ape populations show high variability in space and time in their behavioural and movement patterns. They may respond to seasonal changes in resource abundance or dispersion with different grouping patterns, differences in home-range use, habitat switching, and/or changes in activity patterns (Wich et al. 2004). They leave signs, such as nests, dung, or feeding remains, which decompose at different rates throughout the year generally related to rainfall and intensity of insect activity, especially for dung (Ancrenaz et al. 2004a). Great apes behave more elusively at certain times than at others, and adapt their behaviour to persistent threats, such as hunting. Over a longer time-scale, density (number of individuals per unit area) might change as a result of natural or human induced factors. As a result, estimating ape abundance is a complex task.

Surveys: Establishing baseline abundance estimates

Surveys provide baseline information on species distribution and population size. Surveys assess a situation at a particular moment, whereas monitoring programmes are generally designed to detect progress towards a target situation. Sometimes, due to financial, logistical, or time constraints, ape surveys can only deliver abundance indices such as nest-group encounter rate, instead of total population size estimates. Different types of survey approaches exist and are appropriate to different situations (see Section 1.4).

Practical and budgetary constraints necessitate a compromise between ideal and achievable survey objectives. In an ideal world we would have accurate and precise density estimates for all ape populations, as this would allow for fully informed management and conservation decisions (status of a particular population, or value of a given area for protection of a sub-population). However, constraints may oblige the survey or monitoring programme to estimate only relative abundance indices (see below). In many cases, and especially outside protected areas, similar
constraints may mean that after a survey is conducted, there is no follow-up. Relative abundance provides useful information on spatial distribution and population size (Greenwood 1996), and it can form the baseline for a future monitoring programme. However, baselines of absolute abundance (when possible) are more informative. Surveys are also important when designing protected area networks, determining reserve boundaries or limits of corridors linking isolated populations, and when deciding where to invest time and effort in protection or research activities.

Monitoring: Detecting population change

Monitoring refers to the regular, periodic surveying of a population through space and time. Monitoring programmes can be implemented simply to detect temporal changes in population size. Ideally a population, distribution, or density target is predefined, so that progress towards this target can be measured, and management actions can be taken to address identified problems. Interventions may be short-term to address immediate threats associated with population decline (e.g., antipoaching patrols), or longer-term remedial strategies based on analysis of longitudinal trends in both population status and threats. The latter can be based on a more sophisticated understanding of factors affecting ape population density, and can most usefully inform conservation management strategies.

Population monitoring contributes to our understanding of the impact of threats, such as hunting, disease outbreaks, the effects of logging and habitat degradation and/or fragmentation, or the impacts of tourism activities, and can deliver basic information about the apes’ ecology and their responses to specific disturbance factors. Given the slow reproductive capacity of great apes, monitoring programmes should repeat surveys every one to five years. However, there is no general rule for survey frequency. It depends on the species surveyed, the situation of a particular population and threat intensity. Shorter intervals between repeat surveys are better, as they will provide information for a time series analysis, but costs and staff considerations usually prevent this. The variance around the estimate in each survey should be small enough that significant trends over time are detected.

Ideally large mammal and human-impact monitoring programmes should include regularly conducted surveys. The design and timing of such a programme should allow easy and rapid detection of change. This will inform management about the spatial distribution and abundance of the species of interest, and variation in these parameters over time and space — both seasonally and on a longer-term basis. Importantly, such a programme would contribute information on the sources, distribution, and intensity of threats (although this will usually be complemented by law-enforcement monitoring specifically designed to gather data on threats). Biological and law-enforcement monitoring data can then be used to guide conservation actions (adaptive management), and to evaluate the success or failure of management strategies. In this document we provide information to aid managers in selecting cost-effective methods that address these key issues.

1.4. Sampling objectives and design

Different objectives require different sampling approaches, and no sampling regime is suitable to address all questions about the status of a population (more details in Section 2). It is absolutely vital to choose the most appropriate sampling design and statistical procedures to be used for data processing and analysis before embarking on either a survey or a monitoring programme. It is equally important to become trained in the field methods that are going to be used. A pilot study should be conducted, which not only contributes towards staff training, but also provides data on variance in encounter rates (number of objects encountered per unit of sample, such as nest groups per kilometre walked). A combination of the encounter rate itself and of its variation will determine total sampling effort (number of sampling units to be visited and/or total number of objects to be counted). If the objectives and design have not been correctly defined, the results will be at best inconclusive, and at worst inaccurate and misleading. The first step of every survey or monitoring project should be to carefully identify the goals of the study, the sampling design, and the analysis protocol before embarking on field activities.

We can distinguish three broad categories of information that can be collected by means of a sample survey or census; these are outlined below in order of increasing complexity.
Distribution is usually the easiest and cheapest information to obtain

**Objective:** To map the occurrence, range, and distribution of a given species.

These surveys range from the collection of basic presence/absence data, to some measure of relative density per unit area. They are also used to collect geographically specific information on sources of threat and on habitat preferences of the species of interest. Distributional surveys include recce (Walsh and White 1999) and occupancy methods (MacKenzie and Royle 2005). Under certain circumstances occupancy methods can also be used to estimate abundance (e.g. Royle and Nichols 2003).

**Pros:** Simple to conduct, do not require highly trained staff, or a high level of expertise for data analysis. Cheaper and faster to conduct than density estimates. These types of survey can contribute to the identification of key habitats or sites for the conservation of a single species, a guild of species, or an important habitat type within which the species is known to occur (McGraw 1998; van Krunkelsven et al. 2000).

**Cons:** Some methods are sensitive to interobserver differences, and variation in habitat or season-specific species detectability, and do not provide absolute population estimates.

Abundance estimates through sample surveys

**Objective:** To obtain an estimate of total population size for a certain area.

Abundance estimates are obtained by sampling a subset of the population of interest. The current standard method for estimating ape abundance is nest counts using line transect distance sampling. The size of the entire population in the predefined area is then estimated by extrapolating from the sample. A major assumption is that the sample is representative of the whole area, including threats, topography, vegetation and altitude.

**Pros:** Provides more information about ape population status, when conducted properly, than simple presence/absence.

**Cons:** More cost and labour intensive than simple presence/absence. Requires higher-level training in data collection and analysis.

Total count of a population (census)

**Objective:** To record all individuals present at a given time at a given location.

**Pros:** Highly informative, and very accurate if assumption is not violated that all individuals present are counted once, and no individual is double counted.

**Cons:** Rarely feasible with great apes, and precision cannot be calculated, since this method is based on the assumption that all individuals are counted. If animals are missed or double counted this is impossible to ascertain.

Any of these survey methods can be used for trend estimation or to define management response by providing information about changes in population status and patterns of human impact, although to a different level of accuracy. The monitoring of population trends does not necessarily require an estimate of population size or density. Indices of ape abundance, such as the encounter rate of ape nests per kilometre walked, or the density of ape nests without converting to individual apes, can be used if certain requirements are met. However, great care must be taken as detection probability and sign decay may vary with season and/or rainfall.

1.5. Quantifying ape abundance

The types of information and variables to be recorded in the field obviously depend on the goals of the survey. Methods need to be standardised before fieldwork begins so as to ensure the collection of good quality data. Effort should be made to standardise data with other sites/projects/surveys to allow comparability. Although other sections of this manual will detail these different variables, here is a brief overview. Parameters to be measured during ape surveys can be divided into four categories: great ape signs, human signs, habitat descriptors and climate factors.
Great apes and their signs

Indicators of the presence and abundance of great apes include direct observations, nests, dung, feeding remains, tools, footprints, vocalisations, carcasses, and verbal information provided by local people. These variables may be collected with a variety of different methods, depending on the objectives of a survey. Details are provided in the following sections. Keep in mind that conclusive proof of the absence of a species in a given area will require prolonged study and/or repeated investigation (Ross and Neeve 2003). Instead, occupancy modelling provides probabilities of ape occurrence, even for sites at which no ape sign is detected (MacKenzie and Royle 2005).

Human signs

The type, distribution, and intensity of human activities affecting great apes and their habitats need to be quantified in order to assess their impact on great ape population size and spatial distribution, and on the probability of being able to effectively manage areas for improved survival of these species.

Vegetation type and geographic features

Vegetation type is an important factor determining the distribution and abundance of food and shelter. In addition, predator abundance and distribution (including humans as predators) is partly determined by vegetation. Topography and the presence of natural barriers are also key factors.

Vegetation can be described both qualitatively and quantitatively. A qualitative assessment uses descriptions of the major features encountered in the field, for example, a *Raphia* swamp, or a *terra firma* mixed forest. Quantitative descriptions use numerical values to document each feature (e.g., a 20% slope), or the percent botanical composition of different habitat types. The selection of variables to be recorded during fieldwork will be determined by the survey objectives, available timeframe, the skills of fieldworkers, and the size and current knowledge of the area to be investigated.

Climatic factors

Additional information sometimes needed to interpret population distribution and change includes temperature, humidity, and rainfall. Their effects are probably greatest where they determine what the vegetation types are and how productive they are, and therefore how many apes the habitat can support. They will almost certainly have some bearing on disease occurrence and prevalence, and on human activities in the habitat. Climatic variables also affect rates of decomposition of nests, dung, and other sign.

Land use classification

The administrative status of the sites in which each ape sign is found (whether or not it is a protected area, mining or logging concession, etc) should be recorded, as more than 80% of ape habitat lies outside protected areas.

Section 2. Review of Great Ape Survey Methods

2.1. Introduction

This section gives an overview of the variety of methods used to survey and monitor great ape populations. The most commonly used methods are monitoring of focal groups and conducting nest counts on transects. We also cover less frequently used approaches such as total counts and recently developed techniques such as helicopter surveys. The section closes with a summary on potential future developments such as genetic or camera capture-mark-recapture surveys that have been successfully applied to other large mammals. An extensive literature exists for most survey methods, and the reader is encouraged to obtain more details about the respective methods from the bibliography at the end of this document.
Survey objectives need to be clearly defined. For example, do we want to know the spatial distribution of apes at a given site, or do we want to know the number of individuals in a given population? Are we most interested in the temporal trends of a population and their causes, or do we need to know the causal factors of population density gradients? Not every survey method can address these questions simultaneously. Depending on the objective of the survey, different approaches are required (Table 1) and decisions need to be taken on the design and the data to be collected (Box 1).

### 2.2. Distance sampling methods

Currently the most widely used approach is distance sampling, which has been described extensively (Buckland et al. 1993, 2001, 2004). Distance sampling can be based either on the detection of animals themselves or on their signs (also called cues) such as nests and dung on predefined transects.

---

**Box 1. The decisions required in preparation for great ape surveys**

Based on the objective of the survey, decisions need to be made about:

1) **Objects to be recorded**: Nests, Dung, Feeding Signs, Footprints, Individuals, DNA, Vocalisations

2) **Survey approach**: e.g. Transect survey, Recce survey, Plot survey, Full count, Aerial survey, Capture-Mark-Recapture Survey.

3) **Auxiliary variables**: e.g. Nest decay rate, Deposition/Construction rate, Group size.

---

**Table 1. List of survey methods**

<table>
<thead>
<tr>
<th>Method</th>
<th>Objective</th>
<th>Pros/Cons</th>
<th>Section</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distance sampling</td>
<td>Presence/Absence Distribution Density and abundance</td>
<td>Well developed, robust, currently the standard ape survey method</td>
<td>2.2</td>
</tr>
<tr>
<td>Distance related methods</td>
<td>Presence/Absence Distribution Abundance</td>
<td>Easily applicable, prone to bias, if not carefully done</td>
<td>2.3</td>
</tr>
<tr>
<td>Index methods</td>
<td>Presence/Absence Distribution Abundance index</td>
<td>Easily applicable, prone to bias, if not carefully done</td>
<td>2.4</td>
</tr>
<tr>
<td>Occupancy methods</td>
<td>Presence/Absence Distribution Abundance</td>
<td>Not yet applied for ape surveys</td>
<td>2.6</td>
</tr>
<tr>
<td>Full counts</td>
<td>Distribution Abundance</td>
<td>Only rarely feasible, critical assumptions are easily violated</td>
<td>2.7</td>
</tr>
<tr>
<td>Capture-recapture surveys</td>
<td>Abundance</td>
<td>Ape ranging behaviour makes further development necessary</td>
<td>2.8</td>
</tr>
<tr>
<td>Genetic surveys</td>
<td>(Minimum) Abundance Population structure</td>
<td>Theoretically highly accurate, methods for apes still in development, require high level of expertise</td>
<td>2.8</td>
</tr>
<tr>
<td>Camera trapping</td>
<td>Presence/Absence Distribution Abundance Population structure</td>
<td>Great potential, methods still in development</td>
<td>2.8</td>
</tr>
<tr>
<td>Home range estimator</td>
<td>Abundance</td>
<td>Only rarely used, method needs further development</td>
<td>2.9</td>
</tr>
<tr>
<td>Interview techniques</td>
<td>Presence/Absence</td>
<td>Provide rapidly information on ape occurrence over large area Often inaccurate</td>
<td>2.10</td>
</tr>
</tbody>
</table>
(and estimated group size, if groups are the unit of observation) to calculate the density and abundance of objects of interest in the study area. As long as a random sample is obtained by means of a well-designed survey, and the number of objects and the distance from each object at its initial location to a point or to a line is recorded accurately, reliable density estimates for the objects can be obtained — even if an unknown number located away from the observer go undetected. This is a crucial aspect of distance sampling theory.

**Major assumptions of distance sampling**

The major assumptions of this method (Buckland et al. 2001) are outlined in Box 2. Surveys must be designed and conducted by people who are competent in distance methodology (see Section 3 Survey Design, online). Poorly designed surveys with improperly measured distances will result in inaccurate density estimates. Lines or points need to be placed randomly or systematically with a random start point. Establishing transects along existing roads or trails used by humans will not achieve good density estimates, because human presence is very likely to alter the ape abundance. Laying transects along unused roads or trails means that the habitat is not sampled in a representative manner: roads and trails are often either on ridges or along waterways, thus over or under-sampling some habitats. Roads are also normally flanked by a corridor of secondary light-loving vegetation, a relatively rare habitat in the forest matrix as a whole, and one preferred by some apes (e.g. gorillas).

Violation of the assumption that all objects located above and on the transect line are detected will create a major bias. Some objects (especially nests) can go undetected even if they are directly above the observer; this leads to underestimates of the true density (see van Schaik et al. 2005). Aside from detecting all objects of interest directly on/above the line or point, the distance measurement must be obtained with accuracy before there is any movement in reaction to the observer. If sightings are not independent events this does not bias the density estimate, but it does have implications for the estimate of variance. Hence for animals or objects that occur in groups (apes or nest sites), the group is the preferred unit of observation and the distance to the centre of the group is the information required.

Assuming that these basic assumptions are met in the design and execution of field surveys, the software package DISTANCE (Thomas et al. 2006), which is free to download, is normally used to design surveys and to analyse survey data (to fit a detection function, and estimate density and sampling variance). See Section 7 Data Analysis (online) for more detail, and to obtain the software and other information go to: http://www.ruwpa.st-and.ac.uk/distance/.

**Other factors to consider with distance sampling**

**Observer effectiveness:** Several studies have shown that experience influences object detection (e.g., nest detection: van Schaik et al. 2005). Surveyors’ skills depend on several factors: a) **Individual ability to detect objects:** individual differences in vision, hearing, height and dedication will affect the number of nests detected during surveys. However, because distance sampling models the probability of detecting the objects of interest, keeping the same individual observers or observers of the same skill level throughout one sampling cycle will eliminate this first problem; b) **Fatigue:** it is important to keep survey sessions short. If surveyors feel tired, they will detect fewer objects and thereby introduce additional variability into the detection process; c) **Concentration:** if too many types of objects have to be recorded (e.g., multi-species survey), it is highly likely that detection probability and data quality (the accuracy of the distance measurements, for example) will be affected — in short, do not try to look for too many things at once. Normally one person should look **up** for tree nests and another observer should look **down** for ground nests (when applicable) and for ancillary data such as dung, footprints, or signs of human activities.
Box 3. Line transect sampling

A single transect line is shown. Circles represent target objects distributed in the area around the transect. The perpendicular distance of the nine objects actually detected is denoted as $d_1, d_2,...d_8$ (see Buckland et al. 2001).

Box 4. Aerial orangutan nest survey in Sabah, northeast Borneo

Surveys in Sabah were carried out with a small Bell 206 Jet Ranger aircraft at a constant speed and height (70km/h and 60–80m above canopy). A systematic stratified sampling method using equidistant parallel line transects was designed, the location of the first line being randomly selected (see Ancrenaz et al. 2005 for detailed methodology).

Nest detectability from a helicopter or fixed-wing aircraft depends heavily on forest canopy structure and observer ability. Ideally, specific models for deriving nest densities from aerial indices should be designed for different habitat types and for different levels of observer skill. Before such models are designed, ground-truthing must be conducted in different habitat types in order to validate a baseline model and to determine habitat-specific correction factors when necessary. Video cameras mounted on each side of the aircraft can record all sightings and the recording can be carefully analysed after the flight.

It is not possible to record nest distance from aerial transect lines, and thus it is impossible to derive orangutan nest density estimates directly from these flights. Instead encounter rates are obtained. Aerial surveys are conducted in conjunction with a pre-calibrating stage based on nest surveys on the ground to obtain more traditional orangutan nest density estimates. A robust statistical model can then be developed, correlating aerial indices with orangutan nest densities. This model was applied to all forests in the State of Sabah for which only aerial data were available. In future it may be possible to group nest sightings into distance intervals either side of the aircraft, thus facilitating estimation of the probability of detection.

**Environment:** Environmental variables and seasonality are likely to determine the number of objects detected by affecting encounter rates, the detection process, or even group sizes: such environmental factors include weather (wind, rain, available light, angle of the sun), forest structure and composition, forest height, and so on (Bibby and Buckland 1987). Physical features of the survey area also affect observers’ abilities to detect individuals, nests and other signs: harsh conditions (steep areas, marshes, rivers, thick vegetation), for instance, hamper efficient detection or change encounter rates. However as long as these factors are recorded for each object detected, they can be included in analyses as covariates. Similarly, recording variables that are likely to influence encounter rates (e.g., noting when habitat type changes) allows for post-stratification by these variables during analysis.

**Adequate sample size:** A minimum of 60–80 observations is required to fulfil the basic requirements of distance sampling. However, considerably larger samples are required to generate reliable estimates of other values critical to estimating densities and population sizes (see online Section 3 Survey Design and Section 7 Data Analysis). In areas with low densities of objects (nest groups, individuals) these minimum numbers of observations can be difficult to reach unless substantial effort is allocated to the surveys. Because nest groups are often clumped within suitable habitat, it is important to determine a transect length that will be long enough to minimise the probability that there are zero nests on any given transect. Examination of existing data from the area or data from a pilot study can inform this important decision.

**Distance sampling on line transects**

The line-transect is a plotless method in which the observers walk along straight lines of known length, which are either placed randomly or systematically across the survey area (see Box 3). The area sampled along a line transect is the hypothetical area in which all sign or animals are assumed to have been detected. This area is equal to $2L\mu$, where $L$ is the total length of the transect and $\mu$ is the width of the strip within which the number of objects missed is equal to the number seen beyond this distance). $\mu$ is known as the effective strip width and is determined using Distance software.

To estimate $\mu$, perpendicular distances from target objects detected on a transect line must be recorded accurately. Sometimes, to ensure that the assumptions underlying distance sampling are met, the sighting/radial distance and angle (which can be used to calculate perpendicular distance) are recorded instead; this mostly applies to observations of live animals. Methods using sighting distances also require a sighting angle to calculate perpendicular distance and hence to estimate density (reviewed in Hayes and Buckland 1983). It is generally recognised that this sighting distance and angle method is statistically invalid compared to the perpendicular distance method (e.g., Plumptre and Cox 2006).

Perpendicular distances can be measured (or estimated) directly (ungrouped data) or placed in proper distance categories (grouped data). The latter should be considered as an option
only when it is impossible to obtain exact distances because grouping data severely restricts the options for analysis. Having collected exact distance data, one might still choose to group these data to deal with distance measurement problems; therefore exact distance data offer more flexibility at the analysis stage.

**Aerial strip and line transect surveys**

In Sabah (North-East Borneo), aerial line transect surveys have been employed to survey orangutan nests throughout the state (Ancrenaz et al. 2005). Aerial surveys significantly increase the area sampled per unit time. They also provide a means of surveying remote areas that are not readily accessible from the ground, and can be conducted in much shorter time and require lower human investment than typical ground surveys (Caughley 1974; Ancrenaz et al. 2004b). The utility of aerial surveys depends on the proportion of target objects that observers can accurately detect from the air; if substantially less than 100% of target objects are detectable from the air, then methods are needed to correct observed values (see Box 4).

Aerial nest surveys will likely be of little use in Africa, because African great apes nest lower in the canopy or even on the forest floor and nests are hidden by the canopy. It may also be impossible to distinguish between gorilla and chimpanzee nests from the air in areas where the two species are sympatric. However, aerial surveys could prove useful to determine population distribution and relative abundance in open landscapes, such as savanna mosaics in West Africa.

**Distance sampling on point transects**

Point transects (or Point Counts) can be thought of as line transects of zero length. A series of points is investigated and the radial distance between detected objects and the centre point are measured (see Box 5). In practice, a series of points is located along a straight line. In point transects, only the area at the centre point can have a detection probability of one. The area searched on point transects is $A = \pi r^2$, where $r$ is the estimated effective radius.

**2.3. Distance-related methods — strip transects and plots**

Strip transects give a total count within a given rectangle (or quadrat) of known length and width, thus area. No distances are measured during strip transect sampling; but this method requires that all objects in the strip are detected. With great apes, this assumption is likely to be violated, especially in forest, because the observer only walks down the middle and may miss objects at the edges of the strip, leading to an underestimate of true density (e.g., Vincent et al. 1996). Therefore, a modified approach has been used to survey orangutan nests in a Bornean swamp forest: Circular or box-plots of a fixed size were randomly located on a map and delineated in the forest. Their boundaries were clearly marked, and plots searched thoroughly to count all objects within these plots. This approach presupposes a finite population sampling theory (Cochran 1977), where the exact size of a survey area is known in advance and where all objects in the sampling area are detected. Plot counts produced a significantly higher nest density estimate than line transects, and came close to the estimated true density obtained via other approaches (van Schaik et al. 2005).

**2.4. Index sampling**

Index sampling provides encounter rates, which are assumed to be proportional to actual densities. These are often easier to obtain than density estimates. However, such indices may reflect variance in factors other than density. They may also reflect differences in detection probability between observers and between vegetation types, or variation in production rates, decay rates,

---

**Box 5. Point transect sampling**

The radial distances of the 10 objects detected are denoted as $r_1, r_2...r_{10}$ (see Buckland et al., 2001). The circle represents the maximum distance from the centre at which objects are detected.
and clumping of nests or dung. Possible sources of variation should be carefully considered when using indices, and controls should be established to interpret and minimise such variation, such as plotting cumulative number of observations per unit distance and sampling until the plotted curve levels out.

**Reconnaissance walks.** The basic principle of reconnaissance or “recce” walks is to walk in a predetermined direction taking the path of least resistance through the survey area (Walsh and White 1999). “Guided” recce walks should deviate no more than 40° from a predetermined direction; they are considered more informative than “travel” recce walks, which can deviate by any degree. Data collection is the same as on line transects (number of objects, distance along the line, associated ancillary data), except that perpendicular distances are not recorded and the width of the strip sampled is fixed (usually 1 m either side of the observer) to minimise variation in visibility between habitat types. Recce walks are often used in survey pilot studies; they are valuable in allowing surveyors to become acquainted with a study area (vegetation, topography) and to familiarise themselves with detection of different signs. Recce walks are also used to collect data on the spatial distribution of a species. Even though these indices can be useful to some extent, it should be kept in mind that recce do not provide an unbiased sample of the area, and variation in recce encounter rates is very likely to result from a variety of sources and not just variation in density. Biomonitoring protocols often combine line transects with recce (Box 6).

### 2.5. Direct vs. indirect surveys

**Direct Surveys.** These are counts of individual animals or groups of animals, and are possible if animals are easily detectable and move slowly with respect to the observer. This is unfortunately not usually the case with great apes, which tend to be shy and elusive. For known communities of great apes, direct monitoring is more likely to be possible and it can provide evidence of fluctuations in ape population size (Boesch and Boesch-Achermann 2002; Bermejo et al. 2006; Pusey et al. 2007). Most line transect surveys of African great apes count groups rather than individuals. This is required to meet the criterion of independent observations, which is more likely to hold true for groups than for individuals. However, it is also important to count all individuals encountered in groups, and to factor the mean and standard deviation of group size into estimates of density and population size.

**Indirect surveys.** Because direct surveys of great apes are rarely feasible, the majority of surveys are conducted using indirect traces of ape presence. Signs (or cues) of ape presence are counted, not individual apes or groups. The easiest to see and the most numerous are ape nests. Other signs, such as dung, can also be counted, but this proves almost impossible for the strictly arboreal orangutans. Indirect surveys aiming to provide density estimates of apes must be based on known rates of production of the target objects and of their decay, and the proportion of the population that actually leaves the ‘detectable’ signs, so that ape density can be calculated from the density of indirect signs. Results obtained by indirect surveys should be validated against estimates of true density, whenever possible.

While the goal of surveys is to detect true temporal or spatial variation in ape population size, indirect surveys may yield different density estimates for a number of reasons that are unrelated to real variation in ape abundance. Some sources of sampling error, such as differences in methods, variation in skill among survey teams, and differential detectability of nests in different forest types can be readily addressed through training, analytical tools, and use of standard protocols. However, other sources of error are more difficult to control. The largest source of error when calculating ape density estimates from nest survey data is the estimate of nest duration. Nest duration (i.e., the mean time for which an ape nest remains visible) varies substantially both within and between areas for a wide range of factors, including rainfall, altitude, soil pH, nest height and exposure, and nest tree species (van Schaik et al. 1995; Singleton 2000; Buij et al. 2003; Ancrenaz et al. 2004a,b;
Johnson et al. 2005; Marshall et al. 2006, 2007; Mathewson et al. 2008). Density estimates may also be influenced by the choice of observation unit (individual nests vs. nest groups). Morgan et al. (2006) found that density estimates based on nest groups produced estimates 15-20% higher than those derived from individual nests. However, too few studies are available to explain the causes of this difference. Survey teams and managers must be aware of both the magnitude and direction of the effects of different types of sampling error on population density estimates. Only after careful consideration of sources of sampling error is it possible to assess whether different density estimates reflect true differences between survey sites or periods.

Nest counts

Weaned individuals of all great ape species build nests in which they sleep at night or sometimes rest during the day. Nests can remain visible in the forest for several weeks or months after construction and use. Therefore they are encountered at much higher rates than the apes themselves, resulting in a large number of data points during surveys and a correspondingly better precision of resulting estimates, assuming that the variables used to calculate density (i.e., production rate, decay rate) are also estimated with reasonable precision. Furthermore, nests are immobile, which makes the determination of perpendicular distances and group size much easier compared to detections of the apes themselves. It is important to distinguish inter-specific differences between nest characteristics in areas where two great ape species are sympatric (Tutin et al. 1995). Sanz et al. (2007) have shown that with the collection of a few additional nest characteristics, nests of chimpanzees and gorillas can be reliably distinguished.

Special attention also needs to be made not to confuse ape nests with those built by other species. In Asia, giant squirrels, sun bears or some bird species (adjutant storks, raptors) make nests that novice observers can mistake for orangutan nests. This calls for careful training of observers.

Two types of nest counts are used, standing crop nest counts (e.g., Tutin and Fernandez 1984; Morgan et al. 2006) and marked nest counts (Plumptre and Reynolds 1994, 1996; Hashimoto 1995; Furuchi et al. 2001). For the standing crop method, all nests encountered are recorded. For the marked-nest count, only nests that have been built recently (i.e., those built since the initial or previous survey) are recorded during repeated passages. Both methods require auxiliary variables or conversion factors to convert nest counts to ape abundance. The standing crop method requires a nest construction rate and a nest decay rate; the marked nest method needs only the former (see Box 7). This difference leads to pros and cons for both methods in terms of survey efficiency and precision (see below).

Determining auxiliary variables to use as conversion factors

Quantifying auxiliary variables is not a simple task, since there is no static relationship between ape nest density and ape density. Nest decay rate and nest construction rate show high spatio-temporal variability (e.g., Walsh and White 2005). Therefore, ape surveys that rely on rates taken from the literature (see Table 2), instead of site- and temporally-specific rates, are likely to produce large biases (e.g., Mathewson et al. 2008). For example, Sumatran orangutans regularly make day nests at midday, but most Bornean orangutans do not (Ancrenaz et al. 2004a).

Marked nest counts

Marked nest counts do not require nest decay rates (Plumptre and Reynolds 1994, 1996; Hashimoto 1995; Plumptre and Cox 2006). During a marked nest count survey, transects are walked repeatedly and only nests constructed between two transect visits are counted. Since the time elapsed between transect visits is known precisely, nest density can be translated into ape density without

Box 7. Converting nest counts into ape density

Standing crop nest count

\[
\hat{D}_{\text{Apes}} = \frac{\hat{D}_{\text{all nests}}}{\hat{p} \times \hat{r} \times \hat{t}}
\]

where \( \hat{D}_{\text{all nests}} \) is the estimated density of all nests, \( \hat{p} \) the estimated proportion of nest builders, \( \hat{r} \) the estimated rate of nest production per day per individual, and \( \hat{t} \) the estimated mean life span of a nest, in days.

Marked nest count

\[
\hat{D}_{\text{Apes}} = \frac{\hat{D}_{\text{recent nests}}}{\hat{p} \times \hat{c} \times \hat{r} \times \hat{d}}
\]

\( \hat{D}_{\text{recent nests}} \) is the estimated density of only recently built nests, \( \hat{c} \) the proportion of nests remaining until the next census in fresh or recent age classes, and \( \hat{d} \) is the inter-visit interval, in days, between first/previous and revisit for the marked nest count method.
needing to estimate nest decay rate, as long as the interval is sufficiently short to assume that no nests have disappeared (completely decomposed) during the interval. However, recently built nests will be encountered at a very low rate unless ape density in the area is high. Therefore much more effort has to be invested to yield a nest encounter rate and a precision comparable to standing crop nest counts.

The premise of the marked nest method is that all existing nests along transects will be marked and subsequent surveys will be repeated at short enough intervals so as to record all nests constructed since the last passage. A two-week interval has been used to survey chimpanzee nests in East Africa (e.g., Furuichi et al. 2001), although intervals of up to six weeks are used to survey orangutan nests in Sabah, Borneo. It is important that ape nests are not being created and disappearing between two successive passages, which would result in an underestimation of ape density. This could be of particular concern for gorilla nests, which show variable decay rates depending on nest type and construction (Tutin and Fernandez 1984). Intervals between passages should be shorter to take account of the short decay rates of some types of gorilla nest. We should also mention that repeated surveys can be time and labour intensive, particularly in remote areas where logistical support is limited.

**Nest decay rates**

Nest decay rate varies with great ape species, nesting tree species, forest type, and abiotic parameters such as rainfall, altitude, temperature, soil type and pH (van Schaik et al. 1995; Buij et al. 2003; Ancrenaz et al. 2004a; Walsh and White 2005; Marshall et al. 2006; Mathewson et al. 2008). Because of the large variations associated with these parameters, any attempt to extrapolate decay rates from published studies to any other survey is liable to produce serious errors in density estimation. Such generalisations have been the cause of inaccuracies in some density estimates (Ancrenaz et al. 2005).

Nest decay rate needs to be estimated such that it reflects the temporal and site-specific decay rate of nests encountered at or close to the time of a survey. Environmental conditions before a survey are not necessarily the same as those afterwards or at any other time. Nest decay rates determined independently of a survey are very likely to be unrepresentative of the survey itself. The most reliable estimates for nest decay rate are obtained by direct monitoring of the survival of a sufficient number of nests (Buij et al. 2003; Ancrenaz et al. 2004a) through multiple visits before a survey, although admittedly this can take several years to complete.
The definition of when a nest is considered to have decayed is a problematic issue, for which no satisfactory solution has been found up to now. Usually nests are categorized into four or five age classes (fresh, recent, old, rotting). However, this classification is subject to interobserver differences, and prone to bias estimates of nest decay time. A more objective measurement of nest decay state is needed.

**Retrospective decay rate estimate using two visits**

An approach previously suggested is to estimate decay rate retrospectively based on only two visits per nest site (Laing et al. 2003). The idea behind this approach is that environmental conditions before the survey determine the decay rate and thus the standing stock of nests at the time of surveys. This method requires that freshly constructed nest sites are located in a spatially representative manner across the survey area. The search for these freshly constructed nest sites should be repeated during at least six regularly spaced missions in the time leading up to the survey. The first of these missions should be scheduled such that most of the nests detected have decayed by the start of a survey. At the beginning of a survey, the number of decayed/surviving nests (using objective criteria) at a site is then determined, from which decay rate can be estimated using logistic regression. In addition, this approach allows the inclusion of covariate information such as habitat type, rainfall or tree species.

Since no survey is instantaneous, ideally this approach is repeated for each subregion of the survey area. This is labour intensive and requires a lot of travel effort, but in return this approach will deliver reliable site and temporally-specific nest decay rate estimates.

**Markov chain methodology**

Due to the substantial time investment required to monitor the decay of a sufficiently large sample of nests to provide accurate estimates of nest duration, a number of studies have used Markov chain analysis to estimate nest duration (van Schaik et al. 1995; Russon et al. 2001; Buij et al. 2003; Morrogh-Bernard et al. 2003; Johnson et al. 2005; Mathewson et al. 2008). This technique uses matrix mathematics to estimate nest duration based on transition rates between predefined “decay states” (e.g., a freshly made nest; a nest with some dead leaves). One benefit of this technique is that it permits use of “censored” observations (e.g., nests that were not found soon after construction or that did not disappear within the study period), thus increasing sample sizes for analysis. Markov chain analyses allow the process of nest decay to be modelled and provide an estimate of $t$ from as few as two nest surveys (van Schaik et al. 1995). When they have been calibrated against actual decay rates, Markov chain analyses tend to overestimate nest duration (van Schaik et al. 1995; Buij et al. 2003; Johnson et al. 2005) because longer-lasting nests are more likely to be recorded. A correction factor is usually applied to provide a more accurate estimate of nest duration. This correction factor is calculated by comparing observed nest duration with results obtained from a Markov analysis. Like nest duration estimates themselves, correction factors tend to be site-specific.

**Predicting nest decay**

It has also been suggested that nest decay rate can be predicted from environmental covariates, such as temperature, rainfall, altitude, or pH (van Schaik et al. 1995; Buij et al. 2003; Walsh and White 2005). However, the extent to which these environmental factors reliably correlate with nest duration is unknown. In some cases, relationships established at one site have failed to accurately predict nest decay at other sites. For example, while pH might correlate with nest decay rate in dry-land forests in Sumatra (Buij et al. 2003), it has proved unreliable at two sites in Borneo (Johnson et al. 2005; Marshall et al. 2006).

**Nest construction rates**

A second variable required for both nest count approaches is nest construction rate. This is the number of nests built on average by an individual per 24 hr period. Nest construction rates cannot be determined during a survey. Rates are usually derived from observations of habituated apes resident in the survey area or in similar habitat, which is why only a very few nest production rate estimates are available. Bradley et al. (2008) have shown that gorillas may build more than one nest in a single night; at other times sleeping on bare ground. Such habits are likely to vary both seasonally.
and spatially, and will influence the standing stock of nests. Therefore, if nest construction rates have been taken from published studies, density estimates should be interpreted with care, as this (usually unknown) rate influences the standing stock of nests and thus the estimate considerably. Nest construction rates are needed to accurately convert nest density into individual density, and it should be kept in mind that nest construction rates may show spatiotemporal variation (Table 4).

Re-use of nest and other issues

Great apes occasionally re-use nests (e.g., chimpanzees: Plumptre and Reynolds 1996; gorillas: Iwata and Ando 2007; orangutans: Ancrenaz et al. 2004a). Direct monitoring of a sufficient number of nests will theoretically take into account the fact that some nests are used twice (since a few nests in the samples can be expected to be re-used). This behaviour must also be taken into account when estimating daily production rates.

In some highly disturbed areas of East Kalimantan rates of up to 10% nest re-use have been found in areas where few nest-site choices were available. This suggests that nest re-use is not uniform across habitats, but a function of nest-site availability. Applying nest decay estimates from areas where nests are heavily re-used to areas where they are rarely re-used, or vice versa, could seriously bias results.

The issue of duration of visibility should also be noted. It is one thing to monitor nests over several months, or years, checking each month whether or not they are still visible. But, determining decay rates in this way is liable to overestimate decay rate, since many nests in the latter stages of decay may not be readily detected or identifiable as a nest during a one-off survey. This leads to potential overestimates of decay rates, unless there was a way to reliably cease monitoring a nest and class it as “gone”, at the exact same stage of decay that it would be if it was no longer detected during a survey (van Schaik and Azwar 1991).

Proportion of nest-builders

By translating nest density into ape density, we miss infants who sleep with their mothers and are not yet constructing their own nests. In theory, if the proportion of nest builders in a population is known from habituated apes (see Table 5), this could be factored into a population estimate. However, the proportion of nest-builders is not a fixed constant, since the age structure of ape

<table>
<thead>
<tr>
<th>Species</th>
<th>Nest construction rate</th>
<th>Location</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bonobo</td>
<td>1.37</td>
<td>Lomako, DRC</td>
<td>Mohneke &amp; Fruth 2008</td>
</tr>
<tr>
<td>Chimpanzee</td>
<td>1.09</td>
<td>Budongo, Uganda</td>
<td>Plumptre &amp; Reynolds 1997</td>
</tr>
<tr>
<td></td>
<td>1.09</td>
<td>Goualougo, Congo</td>
<td>Morgan et al. 2007</td>
</tr>
<tr>
<td>Gorilla</td>
<td>1.0</td>
<td>Goualougo, Congo</td>
<td>Morgan et al. 2007</td>
</tr>
<tr>
<td>Orangutan</td>
<td>1.0</td>
<td>Kinabatangan, Sabah, Malaysia</td>
<td>Ancrenaz et al. 2004a</td>
</tr>
<tr>
<td></td>
<td>1.2</td>
<td>Gunung Palung, Malaysia</td>
<td>Johnson et al. 2005</td>
</tr>
<tr>
<td></td>
<td>1.7</td>
<td>Ketambe, Indonesia</td>
<td>van Schaik et al. 1995</td>
</tr>
<tr>
<td></td>
<td>1.9</td>
<td>Suaq Balimbing, Indonesia</td>
<td>Singleton 2000</td>
</tr>
</tbody>
</table>

Table 5. Proportion of nest-builders (proportion of individuals who construct nests)

<table>
<thead>
<tr>
<th>Species</th>
<th>Proportion of nest-builders</th>
<th>Location</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bonobo</td>
<td>0.7–0.81</td>
<td>Lomako, DRC</td>
<td>Fruth 1995</td>
</tr>
<tr>
<td>Chimpanzee</td>
<td>0.83</td>
<td>Budongo, Kalinzu, Bwindi and Kibale, Uganda</td>
<td>Plumptre &amp; Cox 2006</td>
</tr>
<tr>
<td>Gorilla</td>
<td>0.76–0.77</td>
<td>Bwindi, Uganda and Virunga Volcanoes</td>
<td>McNeilage et al. 2006; Gray et al. in review</td>
</tr>
<tr>
<td>Orangutan</td>
<td>0.85–0.90</td>
<td>Borneo, Sumatra</td>
<td>McKinnon 1972; Payne 1988; van Schaik et al. 2005; Ancrenaz et al. 2004a; Johnson 2005</td>
</tr>
</tbody>
</table>

1 Value approximated in Eyengo community excluding infants
populations varies through time and space. Therefore, estimates of proportions of nest-builders derived from habituated apes can be considered only as an approximation.

Dung counts

Dung survey methods are widely used for many species, but are of limited use with great apes, since ape dung encounter rates are very low. One exception is the western lowland gorilla, for which dung counts may have some potential, as a recent study at Bai Hokou has recorded gorilla dung encounter rates comparable to those of nests (Todd et al. 2008). Dung counts can be conducted in the same manner as transect nest counts (methods above) (Plumptre 2000). In addition, the rapid decay of gorilla dung has the advantage that decay rates can be determined continuously during surveys, which eliminates the problem we face with nests. However, gorilla dung decay rates show enormous spatio-temporal variation (Kühl et al. 2007). Therefore, decay rates should never be extrapolated from one survey site to another.

Methods of estimating dung decay rates during surveys have been published (Plumptre and Harris 1995; Laing et al. 2003; Kühl et al. 2007); see also nest decay rates above. One issue of dung counts that needs to be factored into surveys is that piles of dung rather than single defecation events are counted. Therefore, dung pile production rate rather than dung defecation rate is needed to translate dung density into ape density. Estimates from Bai Hokou (Todd et al. 2008) are given in Table 6.

Gorilla dung counts have not yet been widely used. However, this approach may be useful in areas where nest counts are problematic because chimpanzees and gorillas are sympatric.

2.6. Occupancy method

Objects to be sampled: All signs of great ape presence (nests, dung, feeding signs, etc.)

Sampling approach: Plots, point transects

Auxiliary variables: None needed

Occupancy surveys are used for many species, but have not yet been widely used for apes. Occupancy methods (e.g., MacKenzie and Royle 2005; Pellet and Schmidt 2005; Buij et al. 2007) use the fraction of sampling units, such as point transects, in which a species is present to make inferences about a species occurrence, range, distribution, and habitat selection. Their application is relatively easy and efficient. Occupancy methods use one or, more often, repeat visits to sample locations and evaluate whether a particular species is present. For apes, nests, dung, feeding signs and so on are indicators of presence. Several approaches have been developed to reduce “false negatives” (wrongly recorded absence) due to imperfect detection (e.g., MacKenzie and Royle 2005). If certain assumptions are met, occupancy methods can also be used to estimate abundance (e.g., Royle and Nichols 2003). As with all surveys, the study must be carefully designed.

2.7. Full or complete counts

Objects to be sampled: Individuals, dung (DNA)

Sampling approach: Tracking, direct observation

Auxiliary variables: None needed

A full count or census presupposes that all objects present in a given area at the time of survey are detected and counted. Absolute population sizes are obtained. Complete counts are feasible only in relatively small areas, such as the 400 km² Virunga Volcanoes range and Bwindi Impenetrable National Park (Harcourt and Fossey 1981; Aveling and Harcourt 1984; McNeilage et al. 2001, 2006; see Box 8). The following methods have been used to obtain full counts.

Sweep survey

In a sweep survey, a line of observers moves in the same direction to record all objects of interest during their progression. They are separated from each other by a short distance to minimise the probability that objects remain undetected. This methodology can also be used to count nests in

<table>
<thead>
<tr>
<th>Measurement</th>
<th>Age-class</th>
<th>Estimate (range)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dung piles/day</td>
<td>Silverback</td>
<td>5.03 (3.99–10.64)</td>
</tr>
<tr>
<td></td>
<td>Subadult</td>
<td>5.57 (2.08–12.03)</td>
</tr>
<tr>
<td>Defecation events/day</td>
<td>Silverback</td>
<td>4.30 (1.82–8.71)</td>
</tr>
<tr>
<td></td>
<td>Subadult</td>
<td>4.36 (2.46–9.26)</td>
</tr>
</tbody>
</table>

Table 6. Western lowland gorilla dung defecation/dung pile production rates
plots of predetermined size. This approach is successful only if the species to be surveyed leaves a trail on the ground that trackers can follow easily to locate nest sites or the apes directly. This is limited in applicability to particular habitats, such as those with an herbaceous understorey. Attempts to survey the Cross River gorillas in Nigeria have been problematic, for example, as feeding trails could not be followed (Oates pers. comm.).

**Counting known individuals**

**Objects to be sampled:** Individuals  
**Sampling approach:** Direct observation  
**Auxiliary variables:** None needed

In only a very few cases it has been possible to identify and monitor all individuals through ongoing observation over time. This approach is only possible in relatively small study areas where animals have been habituated to human observers and are regularly followed by researchers or wardens. True densities can be estimated from these counts, if range size information is also available. Counting recognisable individuals is used in long-term studies of apes at forest clearings or baïs in northern Congo (Magliocca et al. 1999; Parnell 2002; Stokes et al. 2003). Although it is not possible to determine the density of individuals without information on ranging patterns, mark-recapture methods have been used to monitor population trends in a gorilla population (Caillaud et al. 2006). However, this approach is limited to particular situations (e.g., Kalpers et al. 2003) and is mentioned in this chapter for reasons of completeness only.

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**Box 8. Sweep survey method used to survey the mountain gorilla population in Bwindi Impenetrable National Park, Uganda (McNeilage et al. 2006)**

The park was divided into small sectors (approx. 5–10 km$^2$), centered around camp sites and access points. Six teams, consisting of trackers and team leaders, traversed the park systematically. One team was assigned to census each sector, proceeding such that no more than 3 days were left between the completion of work in one sector and the beginning of work in the next contiguous sector to avoid the possibility of missing groups of gorillas as they range through their habitat. Each sector was searched by walking an irregular network of reconnaissance routes across the area. The actual route walked was determined largely by the terrain and the availability of existing trails, while ensuring that the distance between adjacent routes was never greater than 500 to 700 m so that no area was missed which could have been large enough for a gorilla group to spend more than one week in it. Gorillas construct a fresh nest each night to sleep in, and when recent gorilla trail (less than 5–7 days old) was found, it was followed until nest sites were located. Using topographic maps along with GPS readings every 250 m, compass and altimeter readings, each census team mapped as accurately as possible all paths taken and gorilla trails followed. Thus it was possible to ensure that all groups were found and that none was counted twice, and to distinguish similar sized but distinct gorilla groups found close to each other. At each nest site, nests were counted and measurements of dung size were made along with the presence/absence of silver hairs, to establish the age-sex composition of the group. Teams aimed to find at least three nest sites for each group to confirm the composition of each group, since individual nests or dung could be missed at one nest site. Dung size categories used were as follows:

- Adult male (SB): > 7.2 cm (with silver hairs)
- Adult female or blackback male (MED): 5.5–7.2 cm
- Juvenile/sub adult (JUV): < 5.5 cm (sleeping in own nest)
- Infant (INF): generally < 4 cm (sleeping in mother’s nest)

Juvenile (age 3–6 years) and subadult (6–8 years) age categories were combined, since previous experience indicated that dung sizes do not give sufficiently precise information to distinguish these two categories. Young individuals constructing their own nests were always considered here as the combined category juveniles/subadults, and not infants. In the absence of infant dung, adult female nests could not be distinguished from those of a comparable sized subadult (blackback) male, and so were classified as ‘medium’.

Dung of young infants (less than about one year old) is rarely found in nests, and so the number of infants in the population is underestimated by these methods. However, a correction factor can be calculated for this, based on the fact that previous censuses of groups with known composition have shown that approximately one-third of infants are missed in this way (Schaller 1963). This correction factor was applied to the total number of infants in unhabituated groups.
2.8. Mark-recapture surveys

*Objects to be sampled:* Individuals, DNA

*Sampling approach:* Direct observation

*Auxiliary variables:* None needed

The principal idea behind capture-recapture studies is that the proportion of individuals identified ("captured") in a population during a first "trapping round" should equal the proportion of re-identified ("recaptured") individuals in a second "trapping round" some time later (see Box 9).

Capture-recapture methods can be used without physically capturing the animals (trapping of great apes is neither practical nor ethical) if the individuals can be systematically detected and identified. This can be done at a distance using individual physical traits, or using genetic profiles as determined from matter deposited in the environment, such as shed hairs and faeces.

A wide range of methods exists. For reviews of techniques, assumptions and calculations, see Nichols and Conroy (1996), Borchers *et al.* (2002), or Ross and Reeves (2003). A number of free software packages are also available for data processing (see Southwood and Henderson 2000, and see below).

Capture-recapture methods require that markers are not lost during the study and are always correctly recognised. Recent methods have been developed to deal with violation of this assumption, and were developed in part to address problems of DNA capture-recapture (see Lukacs and Burnham 2005a). Basic analyses assume that all animals have an equal probability of capture, but analysis techniques also exist that allow capture probability to vary for individual animals or subsets of the population (heterogeneity), through time or capture history (behaviour). The duration of sampling should be short enough to assume a closed population if mark-recapture techniques are to be used to estimate abundance, not just to estimate survival, mortality or other population parameters. Genetic and camera-trap capture-recapture studies have been used for a variety of forest-dwelling species (e.g., Karanth and Nichols 1998; Mowat and Strobeck 2000; Henschel and Ray 2003; Goswami *et al.* 2007). These methods could potentially be applied to great apes. However, individuals do not mix randomly within a population, but occupy home ranges. Consequently there would be a large capture heterogeneity between individuals. Although, non-standard capture-recapture models have been developed for similar situations, currently there is no standardized method applicable to great apes.

**Box 9. The principle of capture-mark-recapture**

There must be at least two rounds of trapping in a given population. During the first round, a total of $n_1$ different individuals are “caught” and identified. In the second round, we catch a set of $n_2$ individuals from which $m_2$ individuals are individuals captured already in the first round. In this case, we can expect that the ratio of animals caught during the second round ($m_2$) to the total number of animals captured on the second round $n_2$ equals the ratio of the number of animals available for capture (or number caught during the first round) to the total population:

$$\hat{N}_{\text{total}} = \frac{n_1 \cdot n_2}{m_2}$$

*Genetic minimum counts and capture-recapture*

*Objects to be sampled:* Dung (DNA)

*Sampling approach:* e.g., Tracking

*Auxiliary variables:* None needed

In recent years, non-invasive genetic sampling has been increasingly used for capture-recapture studies and applied to a variety of species (see review in Lukacs and Burnham 2005b). First of all, a minimum number of apes in a given area can be determined simply by counting the number of “fingerprinted” individuals identified by their DNA. Secondly, estimating abundance using DNA-based capture-recapture methods is likely to be most useful for relatively small populations (up to a few thousand individuals), which is the case for most great ape populations. The principle is the same as for individuals recognised by physognomic characteristics: the DNA of each individual is unique and can be “fingerprinted” from dung or hair. As with other approaches, sampling design is important, and will be dependent on biology of the species, size of the area, timeframe, and the financial and human resources available.
In general, signs of animals are searched for, and non-invasive samples collected. For great apes, faecal samples tend to be the most reliable source of DNA. Samples of approximately 5 g should be as fresh as possible (e.g., from fresh or very recent night nests) and stored appropriately to prevent degradation of genetic material in a tube containing ~30 ml ethanol. The day after collection the faecal bolus should be transferred into fresh silica tubes for further drying (Nsugubu et al. 2004). Samples are then typed for highly variable genetic markers to discriminate individuals. Most current studies rely on microsatellite loci, since these are short and therefore amplifiable from non-invasive samples, easy to type by performing length discrimination, and highly variable (see Di Fiore 2003 for discussion of different genetic markers). Most studies report the number of unique “genetic fingerprints” (the composite genotype of the specific alleles at the different microsatellite loci) as the minimum number of individuals in an area (e.g., Bergl and Vigilant 2007). However, genetic fingerprinting can also be used to “mark” individuals for capture-recapture analysis.

A major issue with DNA-based capture-recapture is the possibility of genotypic errors. Therefore, studies should follow the stringent protocols developed for low quality and quantity samples (Taberlet and Luikart 1999; Taberlet et al. 1999; Mills et al. 2000; Morin et al. 2001; Waits 2004). Ideally an assessment prior to the actual study should determine enough variable microsatellite loci to establish a high probability of identity discrimination (Waits and Paetkau 2005). Recently, a Bayesian method of population size estimation was applied to non-invasive capture-recapture data, and showed that genotyping errors did not substantially bias population size estimates and furthermore allowed for multiple sampling sessions, which had previously been a limitation of traditional capture-recapture methods (Petit and Valiere 2006). In addition, closed population capture-recapture models have been developed to account for genotyping errors (Lukacs 2005; Lukacs and Burnham 2005a, 2005b). Other models have been developed to estimate survival, emigration rates, fecundity and population growth in open populations (Nichols 1992), and a variety of software packages exist for capture-recapture analysis:

Mark http://www.phidot.org/software/mark
Popan http://www.cs.umanitoba.ca/~popan/
Online forum for analysis of data from marked individuals www.phidot.org/forum.

As with all other survey methods, we strongly recommend consulting a professional statistician who is familiar with these methods, to both design a DNA-based capture-recapture survey, and to process the data collected.

Camera trapping

**Objects to be sampled:** Individuals

**Sampling approach:** Random or systematic placement of cameras

**Auxiliary variables:** None needed

Camera trapping has become an increasingly popular tool by which wildlife managers assess species’ presence in a given area, monitor population trends, and identify individuals. In theory, if all individuals caught on camera are positively identified then capture-recapture analytical tools can be used to estimate population size (Karanth and Nichols 1998).

Capture history can be synthesized in a binary matrix that is fed in turn into standard capture-recapture software to produce a population estimate (see Sanderson and Trolle 2005). However, as mentioned under 2.8, the non-random distribution within ape populations violates a basic assumption of standard capture-mark-recapture models. Further method development is needed before this could be applied to apes.

Camera trapping may also be difficult to apply because apes use three-dimensional habitats (orangutans are the least terrestrial of all). That said, gorillas and chimpanzees have been identified in the Ndoki forests via camera traps by people familiar with individual study animals (Sanz et al. 2004; Breuer pers. comm.). Although abundance estimates have not yet been calculated from those data, accumulation curves and comparisons with data on habituated groups indicate that all apes in a region could be effectively recorded with these devices (Morgan and Sanz pers. comm.).
This approach also has potential for ape surveys, however, further evaluation and development are needed before this method can be applied routinely.

2.9. Home range estimator

**Objects to be sampled:** Individuals, signs  
**Sampling approach:** e.g., Tracking  
**Auxiliary variables:** None needed

Home range size can be used to estimate the number of ape communities resident in a certain area. When combined with the average number of individuals in a community, and knowledge of the extent of home range overlap, this measure can be used to estimate population size over large areas.

The first use of home range size to estimate ape population size dates back several decades (e.g., Reynolds and Reynolds 1965). Bermejo et al. (2006) used ranging data from several adjacent gorilla groups in the Republic of the Congo; first to estimate home range size and overlap, and second to estimate gorilla density. The principal idea behind this approach is to track the positions of individuals belonging to the same group over time. This may be done through direct observation or signs, such as nests or camera images. A broad variety of statistical and non-statistical approaches exist to then derive home range size estimates from these location data (e.g., minimum convex polygon, kernel density estimation). When properly applied, this approach should provide a reliable estimate of ape density, which can be used to confirm estimates derived from other approaches or to calibrate other methods. However, this method could prove difficult to implement for apes with highly overlapping ranges (Singleton 2000).

Home range size and overlap can differ enormously over different spatial scales. In orangutans, it also differs between sexes and probably with age. That means that home range size and number of community members have to be estimated in a representative manner for a specific survey area (Singleton 2000). Extrapolation of home range size will likely yield biased results. Although this method may have potential for assessing ape population status, it needs further development, before it can be used routinely.

2.10. Interview techniques

**Objects to be sampled:** Verbal information  
**Sampling approach:** Questionnaire  
**Auxiliary variables:** None needed

Interviews with hunters, local villagers, and/or officials from in-country governmental organizations are useful for obtaining information on the perceived presence of apes over large areas, and can be accomplished relatively rapidly and economically (Sugiyama and Soumah 1988; Hoppe-Dominik 1991).

However, information gathered in interviews is often inaccurate, as it tends to be out-dated and interviewee reliability is notoriously difficult to assess. Nevertheless, treated with caution, interviews can be a useful preliminary step to conducting a field survey, or to obtaining information to supplement field surveys.

There are two main types of interviews: (i) mailed-in questionnaires or (ii) face-to-face interviews. In the former case, a questionnaire is prepared in advance and is sent to targeted people working in the selected area. The latter involves conducting interviews at either randomly or systematically selected sites. Interviews should be structured or semi-structured. Both interview types cover specific questions or topics, but with the possibility of open discussion in semi-structured interviews (Bernard 2002). To prepare for face-to-face interviews, it is helpful to learn the local names of each primate species thought to occur in the area, to have photographs or drawings of specimens and, if possible, recordings of their vocalisations. To confirm the presence of a species, there should be concordance between the name, identification of species in the pictures, and description of its behaviour, given by the interviewee without any leading questions asked by the interviewer. Interviews are generally more reliable when confirming absence, as opposed to presence. Presence reports should always be verified first hand.
2.11. Suitability of different methods

As pointed out earlier, there is no “best” great ape survey technique. Here we present a decision tree that can be used to determine which method to use in different circumstances.

**Decision Tree: Surveys and Monitoring — what to do when**

I. First let us assume you need to know how many animals are present in the population.

1. Are all animals in the population known individually and can they be found within a few weeks and/or are they relatively few in number, make night nests, and found within a small area?
   - Yes
   - No If no, go to 2.

   If yes, Carry out full count of known individuals, or use sweep sample to cover the whole of the area of interest.

2. Is the rough encounter rate of nest groups or other signs that will be used to estimate density already known?
   - Yes
   - No If no, go to 4.

   Conduct a pilot study consisting of a few transects throughout the area of interest in order to obtain a rough idea of encounter rate (this should only take a couple of weeks). Then go to 3.

3. Decide on the target coefficient of variation you require for a survey. If a survey or series of surveys is to be used for monitoring purposes, then a power analysis should be conducted to estimate the probability of being able to detect a trend given the potential variability in the data and the given monitoring design (same can be said for methods based on mark-recapture, etc.). Using the encounter rate derived from a pilot study, calculate how many kilometres of transect you would need to estimate density of nest groups. (Use the formula found in Chapter 7, section 7.2.2.1. of Buckland et al. (2001). Is the number of kilometres feasible given the time and resources available?
   - Yes
   - No If no, go to 5.

   If yes, design a transect-based survey using a combination of the DISTANCE programme and either ArcView or ArcGIS. Implement it using trained field teams; use the results to estimate the ape population in the area surveyed.

4. You cannot calculate density without enormous cost. Therefore you cannot estimate numbers of animals using transect methods. Would you be able to use genetic methods?
   - No If no, consider index methods (go to 5).
   - Yes

   If yes, and if you have access to trained staff and a partner laboratory to process samples, consider designing a survey using genetic markers and implement it. *(NB: Pilot study is advised — this may or may not be more costly than transect methods).*

II. You cannot estimate how many animals are present in the population and/or you do not need to know at this point. However you can calculate area of occupancy (distribution maps) and relative abundance.

5. Are there sufficient resources to cover the whole area using recce walks?
   - Yes
   - No If no, consider interview-only surveys.

   If yes, create a recce sampling design using a combination of ArcView or ArcGIS and the DISTANCE programme and implement it using trained teams in the field. Results will provide a distribution map and relative abundance over the area.

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Additional useful literature

1. **Surveys and Censuses: Overview and General Information**


2. **Distance Sampling and Statistical Analyses**

Yamagiwa, J. 2001. Factors influencing the formation of ground nests by eastern lowland gorillas in Kahuzi-Biega National Park: some evolutionary


Pongo pygmaeus


Yamagiwa, J. 2001. Factors influencing the formation of ground nests by eastern lowland gorillas in Kahuzi-Biega National Park: some evolutionary


4. African Ape Surveys


5. Orangutan Surveys


6. Aerial Surveys


7. Genetics, Capture-Recapture


Annex I
Contacts and Resources for Further Information and Funding

Ape Populations, Environments and Surveys (A.P.E.S.) Database
http://apes.eva.mpg.de/
Email: apes@eva.mpg.de

DISTANCE
http://www.ruwpa.st-and.ac.uk/distance/

USFWS Great Apes Program
http://www.fws.gov/international/rfps/gahow.htm

Biodiversity Conservation and Habitat and Ecosystem Protection Donor Newsletters
http://www.bothends.org/service/stand4.html

Conservation Information Service (CIS)
CIS links persons developing and managing conservation-related projects with donors who share their goals.
http://www.primate.wisc.edu/pin/cis/

FAO Collaborative Partnership on Forests
Forest Funding News

Society for Conservation Biology
Listing of granting Institutions and contact information for support of research and other conservation activities in Africa.
http://www.conbio.org/sections/Africa/africafunding.cfm

Tropical Biology Association Funding Database

Annex II
Online Sources of GIS Data

Raster data
USGS Geographic Data Download/Earth Resources Observation and Science (EROS)
http://edc.usgs.gov/

Topographic data 1km
Digital Elevation Model (DEM) — National Geophysical Data Center (NGDC)
http://www.ngdc.noaa.gov/mgg/topo/globe.html

Topographic data 90m
SRTM Data — The CGIAR Consortium for Spatial Information (CGIAR-CSI)
http://srtm.cgiar.org/SELECTION/inputCoord.asp

Vector data
World Base Map — ESRI
http://arcdata.esri.com/data_downloader/
DataDownloader?part=10200 (vector)

The GIS Data Depot (requires Login)
http://data.geocomm.com/catalog/

Collection of GIS data
University of California, Berkeley
http://biogeo.berkeley.edu/bgm/gdata.php

The CIESIN World Data Center for Human Interactions in the Environment

Africover — Food and Agriculture Organisation of the United Nations (requires Login)
http://www.africover.org/system/africover_data.php

Carpe Data Explorer — Central African Regional Program for the Environment
http://maps.geog.umd.edu/metadataexplorer/explorer.jsp

Global Land Cover Facility — University of Maryland
http://glcf.umd.edu/index.shtml

Maps (not georeferenced)
Perry-Castañeda Library Map Collection
http://www.lib.utexas.edu/maps/
Occasional Papers of the IUCN Species Survival Commission


*Out of print

Many of these publications are available online at: www.iucn.org/themes/ssc/publications/thematic_pubs.htm