

**AIA CODE OF ETHICS AND PROFESSIONAL CONDUCT
 CONTENT ANALYSIS**

This table is an analytical view of each “Rule” presented under the 1997 AIA Code of Ethics and Professional Conduct and a tabulation of the general ethical principal governing each rule, labeling it a prohibition of lying, law-breaking, or some other admonition.

Content summary:

- Laws:** 29% of rules require members to obey the law.
- Lies:** 33% of rules require members not to lie.
- Other:** 38% of the rules refer to some other conduct standard.

The table presents the “Rules” without the accompanying “Commentary”. The columns to the right are not part of the AIA Code of Ethics and Professional Conduct

The complete text, with commentary, of the AIA Code of Ethics and Professional Conduct is available at the AIA website, <http://www.aia.org/institute/code.asp>

AIA “Rule”	Synopsis of Standard or Rule	Laws	Lies	Other
<p>CANON I General Obligations Members should maintain and advance their knowledge of the art and science of architecture, respect the body of architectural accomplishment, contribute to its growth, thoughtfully consider the social and environmental impact of their professional activities, and exercise learned and uncompromised professional judgment.</p>				
<p>E.S.1.1 Knowledge and Skill: Members should strive to improve their professional knowledge and skill.</p>	Knowledge and Skill			
<p>Rule 1.101 In practicing architecture, Members shall demonstrate a consistent pattern of reasonable care and competence, and shall apply the technical knowledge and skill which is ordinarily applied by architects of good standing practicing in the same locality</p>	Be as competent as your average peer.			<input checked="" type="checkbox"/>
<p>E.S. 1.2 Standards of Excellence: Members should continually seek to raise the standards of aesthetic excellence, architectural education, research, training, and practice.</p>	Standards of Excellence			
<p>E.S. 1.3 Natural and Cultural Heritage: Members should respect and help conserve their natural and cultural heritage while striving to improve the environment and the quality of life within it.</p>	Natural and Cultural Heritage			
<p>E.S. 1.4 Human Rights: Members should uphold human rights in all their professional endeavors.</p>	Human Rights			
<p>Rule 1.401 Members shall not discriminate in their professional activities on the basis of race, religion, gender, national origin, age, disability, or sexual orientation.</p>	Don’t discriminate.	<input checked="" type="checkbox"/>		
<p>E.S. 1.5 Allied Arts & Industries: Members should promote allied arts and contribute to the knowledge and capability of the building industries as a whole.</p>	Allied Arts and Industries			

AIA "Rule"

Synopsis of Standard or Rule	Laws	Lies	Other
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<p>CANON II Obligations to the Public Members should embrace the spirit and letter of the law governing their professional affairs and should promote and serve the public interest in their personal and professional activities.</p>
<p>E.S. 2.1 Conduct: Members should uphold the law in the conduct of their professional activities.</p>
<p>Rule 2.101 Members shall not, in the conduct of their professional practice, knowingly violate the law.</p>
<p>Rule 2.102 Members shall neither offer nor make any payment or gift to a public official with the intent of influencing the official's judgment in connection with an existing or prospective project in which the Members are interested.</p>
<p>Rule 2.103 Members serving in a public capacity shall not accept payments or gifts which are intended to influence their judgment.</p>
<p>Rule 2.104 Members shall not engage in conduct involving fraud or wanton disregard of the rights of others.</p>
<p>Rule 2.105 If, in the course of their work on a project, the Members become aware of a decision taken by their employer or client which violates any law or regulation and which will, in the Members' judgment, materially affect adversely the safety to the public of the finished project, the Members shall:</p> <ul style="list-style-type: none"> a. advise their employer or client against the decision, b. refuse to consent to the decision, and c. report the decision to the local building inspector or other public official charged with the enforcement of the applicable laws and regulations, unless the Members are able to cause the matter to be satisfactorily resolved by other means.
<p>Rule 2.106 Members shall not counsel or assist a client in conduct that the architect knows, or reasonably should know, is fraudulent or illegal.</p>
<p>E.S. 2.2 Public Interest Services: Members should render public interest professional services and encourage their employees to render such services.</p>
<p>E.S. 2.3 Civic Responsibility: Members should be involved in civic activities as citizens and professionals, and should strive to improve public appreciation and understanding of architecture and the functions and responsibilities of architects.</p>
<p>Rule 2.301 Members making public statements on architectural issues shall disclose when they are being compensated for making such statements or when they have an economic interest in the issue.</p>
<p>CANON III Obligations to the Client Members should serve their clients competently and in a</p>

Conduct			
Don't break the law.	<input checked="" type="checkbox"/>		
Don't give bribes to officials.	<input checked="" type="checkbox"/>		
Don't accept bribes as officials.	<input checked="" type="checkbox"/>		
Don't commit fraud.	<input checked="" type="checkbox"/>		
Don't help others endanger the public.			<input checked="" type="checkbox"/>
Don't help others break the law.	<input checked="" type="checkbox"/>		
Public Interest Services			
Civic Responsibility			
Disclose financial interests.		<input checked="" type="checkbox"/>	

AIA "Rule"

Synopsis of Standard or Rule	Laws	Lies	Other
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professional manner, and should exercise unprejudiced and unbiased judgment when performing all professional services.
E.S. 3.1 Competence: Members should serve their clients in a timely and competent manner.
Rule 3.101 In performing professional services, Members shall take into account applicable laws and regulations. Members may rely on the advice of other qualified persons as to the intent and meaning of such regulations.
Rule 3.102 Members shall undertake to perform professional services only when they, together with those whom they may engage as consultants, are qualified by education, training, or experience in the specific technical areas involved.
Rule 3.103 Members shall not materially alter the scope or objectives of a project without the client's consent.
E.S. 3.2 Conflict of Interest: Members should avoid conflicts of interest in their professional practices and fully disclose all unavoidable conflicts as they arise.
Rule 3.201 A Member shall not render professional services if the Member's professional judgment could be affected by responsibilities to another project or person, or by the Member's own interests, unless all those who rely on the Member's judgment consent after full disclosure.
Rule 3.202 When acting by agreement of the parties as the independent interpreter of building contract documents and the judge of contract performance, Members shall render decisions impartially.
E.S. 3.3 Candor and Truthfulness: Members should be candid and truthful in their professional communications and keep their clients reasonably informed about the clients' projects.
Rule 3.301 Members shall not intentionally or recklessly mislead existing or prospective clients about the results that can be achieved through the use of the Members' services, nor shall the Members state that they can achieve results by means that violate applicable law or this Code.
E.S. 3.4 Confidentiality: Members should safeguard the trust placed in them by their clients.
Rule 3.401 Members shall not knowingly disclose information that would adversely affect their client or that they have been asked to maintain in confidence, except as otherwise allowed or required by this Code or applicable law.
CANON IV Obligations to the Profession Members should uphold the integrity and dignity of the profession.
E.S. 4.1 Honesty and Fairness: Members should pursue their professional activities with honesty and fairness.

Competence			
Don't break the law.	<input checked="" type="checkbox"/>		
Don't get in over your head.			<input checked="" type="checkbox"/>
Don't pad the project scope.		<input checked="" type="checkbox"/>	
Conflict of Interest			
Avoid or disclose conflicting interests.**		<input checked="" type="checkbox"/>	
Interpret documents fairly.			<input checked="" type="checkbox"/>
Candor and Truthfulness			
Don't lie about your work.		<input checked="" type="checkbox"/>	
Confidentiality			
Keep secrets.			<input checked="" type="checkbox"/>
Honesty and Fairness			

AIA "Rule"

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Rule 4.101 Members having substantial information which leads to a reasonable belief that another Member has committed a violation of this Code which raises a serious question as to that Member's honesty, trustworthiness, or fitness as a Member, shall file a complaint with the National Ethics Council.

Rule 4.102 Members shall not sign or seal drawings, specifications, reports, or other professional work for which they do not have responsible control.

Rule 4.103 Members speaking in their professional capacity shall not knowingly make false statements of material fact.

E.S. 4.2 Dignity and Integrity: Members should strive, through their actions, to promote the dignity and integrity of the profession, and to ensure that their representatives and employees conform their conduct to this Code.

Rule 4.201 Members shall not make misleading, deceptive, or false statements or claims about their professional qualifications, experience, or performance and shall accurately state the scope and nature of their responsibilities in connection with work for which they are claiming credit.

Rule 4.202 Members shall make reasonable efforts to ensure that those over whom they have supervisory authority conform their conduct to this Code.

CANON V
Obligations to Colleagues
 Members should respect the rights and acknowledge the professional aspirations and contributions of their colleagues.

E.S. 5.1 Professional Environment: Members should provide their associates and employees with a suitable working environment, compensate them fairly, and facilitate their professional development.

E.S. 5.2 Professional Recognition: Members should build their professional reputation on the merits of their own service and performance and should recognize and give credit to others for the professional work they have performed

Rule 5.201 Members shall recognize and respect the professional contributions of their employees, employers, professional colleagues, and business associates.

Rule 5.202 Members leaving a firm shall not, without the permission of their employer or partner, take designs, drawings, data, reports, notes, or other materials relating to the firm's work, whether or not performed by the Member.

Rule 5.203 A Member shall not unreasonably withhold permission from a departing employee or partner to take copies of designs, drawings, data, reports, notes, or other materials relating to work performed by the employee or partner that are not confidential.

Report violators.			<input checked="" type="checkbox"/>
Don't plan-stamp.	<input checked="" type="checkbox"/>		
Don't lie.		<input checked="" type="checkbox"/>	
Dignity and Integrity			
Don't lie about your resume.		<input checked="" type="checkbox"/>	
Encourage employee compliance.			<input checked="" type="checkbox"/>
Professional Environment			
Professional Recognition			
Give proper credit.		<input checked="" type="checkbox"/>	
Don't take without asking.			<input checked="" type="checkbox"/>
Share.			<input checked="" type="checkbox"/>

"ethical standards"

rules (11/16 = 69%)

non-rules (5/16 = 31%)

"rules"

LIES (7/24 = 29%)

LAWS (8/24 = 33%)

OTHER (9/24 = 38%)