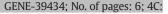
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Gene xxx (2014) xxx-xxx





Contents lists available at ScienceDirect

Gene



journal homepage: www.elsevier.com/locate/gene

¹ The complete mitochondrial genome sequence of the world's largest fish, the whale shark (*Rhincodon typus*), and its comparison with those of related shark species

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ARTICLE INFO

Article history:
 Received 17 October 2013
 Received in revised form 16 January 2014
 Accepted 26 January 2014
 Available online xxxx

- 19 Keywords:
- 9 Whale shark
- 10 Mitochondria
- 11 Genome
- 12 Mitogenome
- 13 Phylogeny

ABSTRACT

The whale shark (*Rhincodon typus*) is the largest extant species of fish, belonging to the order Orectolobiformes. It is listed as a "vulnerable" species on the International Union for Conservation of Nature (IUCN)'s Red List of Threatened Species, which makes it an important species for conservation efforts. We report here the first complete sequence of the mitochondrial genome (mitogenome) of the whale shark obtained by next-generation sequencing methods. The assembled mitogenome is a 16,875 bp circle, comprising of 13 protein-coding genes, two rRNA genes, 22 tRNA genes and a control region. We also performed comparative analysis of the whale shark mitogenome to the available mitogenome sequences of 17 other shark species, four from 20 the order Orectolobiformes, five from Lamniformes and eight from Carcharhiniformes. The nucleotide composi-21 tion, number and arrangement of the genes in whale shark mitogenome are the same as found in the 22 Mitogenomes, although the whale shark mitogenome had a slightly longer control region. The availability 24 of mitogenome sequence of whale shark will aid studies of molecular systematics, biogeography, genetic differ-25 entiation, and conservation genetics in this species.

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1. Introduction

The whale shark Rhincodon typus Smith 1828, is the largest extant 33 species of shark, indeed the largest extant species of fish. It belongs to 34 the family Rhincodontidae within the order Orectolobiformes of class 35 36 Chondrichthyes. There are 41 other species within this order, but *R. typus* is the only one that exclusively inhabits the pelagic zone. It is 37 found mostly in tropical and warm temperate seas between latitudes 38 30°N and 35°S (Compagno, 2001). Whale sharks predominantly feed 39 40 on macrozooplankton that they capture by filtering seawater through 20 specialised pad-like apparatuses situated in the oropharyngeal cavity 41 (Motta et al., 2010; Rowat and Brooks, 2012; Taylor, 2007). The filter 4243 feeding habit is shared with only two other shark species, the lamniform

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0378-1119/\$ - see front matter © 2014 Published by Elsevier B.V. http://dx.doi.org/10.1016/j.gene.2014.01.064

basking shark Cetorhinus maximus and megamouth shark Megachasma 32 pelagios, but the whale shark mechanism is unique and likely evolved 45 separately (Martin and Naylor, 1997). Unlike the whale shark, the filter-46 ing structures in C. maximus and M. pelagios consist of bristle- or finger- 47 like gill rakers, which they use to trap plankton as water filters through 48 the mouth and over the gills (Rowat and Brooks, 2012). The whale shark 49 is a charismatic and placid species and a popular target for ecotourism in 50 places where it is found reliably, however it is also subject to several 51 threats and listed as a Vulnerable species on the International Union 52 for Conservation of Nature (IUCN) 's Red List of Threatened Species 53 (IUCN, 2013). Whale sharks face several threats including targeted 54 artisanal fisheries, incidental take associated with tuna fisheries 55 (the two species are often co-located), ship strike and ecotourism. 56 Unfortunately, due to their late maturation and presumed low fecundi- 57 ty, whale sharks are expected to have low population resilience in the 58 face of these threats.

Despite being an iconic animal with great conservation importance, 60 little research has been done on the genetics and genomics of this 61 species. There is no comprehensive estimate of the inherent genetic 62 diversity within the global population of *R. typus*. Also, the degree of 63 genetic differentiation between *R. typus* sub-populations in different 64 ocean basins is not known. Three genetic studies conducted to date 65 seem to suggest that *R. typus* constitute a single panmictic population 66 with little or no genetic differentiation between oceans (Castro et al., 67

Abbreviations: bp, base pairs; IUCN, International Union for the Conservation of Nature; rRNA, Ribosomal ribose nucleic acid; tRNA, Transfer ribose nucleic acid; π , nucleotide diversity; Cytb, Cytochrome oxidase b gene; COI and COII, Cytochrome oxidase gene subunits 1 and 2, respectively; DNA, Deoxy-ribose nucleic acid; BLAST, Basic Local Alignment Search Tool; PCA, Polymerase chain reaction; NCBI, National Center for Biotechnology Information; ML, Maximum likelihood; AIC, Akaike Information Criterion; GC, The Guanine–Cytosine content of DNA, expressed as a percentage; EGC, Emory Genome Center.

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2007; Ramírez-Macías et al., 2007; Schmidt et al., 2009). These studies 68 69 utilised either mitochondrial control region (Castro et al., 2007; Ramírez-Macías et al., 2007) or microsatellite loci (Schmidt et al., 70 71 2009) as markers to investigate genetic diversity and phylogeography of the R. typus populations. Castro et al (2007) observed 44 unique 72haplotypes of the control region sequence in the 70 R. typus individuals 73 74analysed from six locations around the world (haplotype diversity = 75 0.974 ± 0.008 , π nucleotide diversity = 0.011 \pm 0.006), however, 76none of the haplotypes showed a clear association with any specific 77 geographic location. Ramírez-Macías et al. (2007) analysed control re-78gion sequence in 36 R. typus individuals from three areas in the Gulf of California, Mexico, and found no evidence of geographic clustering of 79 any of the 14 control region haplotypes (haplotype diversity = 0.9080 and π nucleotide diversity = 0.005). Schmidt et al. (2009) surveyed 81variation at 8 microsatellite loci in 68 R. typus individuals collected 82 from Pacific Ocean, Indian Ocean and Caribbean Ocean and observed 83 no significant genetic differentiation between any of these populations. 84

Short mitochondrial regions such as Cytb, COI, COII are widely used 85 markers for population genetic studies, however phylogenetic inference 86 based on the variations at such short loci is not always robust and may 87 lack sufficient resolution compared to the phylogenies based on longer 88 genomic sequences such as the complete mitogenome or nuclear 89 90 genome (Cao et al., 1998a; Galtier et al., 2009; Powell et al., 2013; Velez-Zuazo and Agnarsson, 2011; Yu et al., 2007). Also, analysis of 91 longer sequences from large number of samples provides more insight-92ful view of population structure compared to single and shorter genetic 93 loci. In this study we report the first complete sequence of the R. typus 9495mitogenome using next-generation sequencing methods. We also compared R. typus mitogenome with mitogenomes of 17 other shark 96 97 species belonging to the order Orectolobiformes and its closest orders 98 Lamniformes and Carcharhiniformes.

99 2. Materials and methods

The genomic DNA used for this study was isolated from the liver tis-100 sue of a deceased male *R. typus* species from Taiwan, using a standard 101 phenol-chloroform extraction method. The specimen was collected by 102103 the Georgia Aquarium Research Center, Atlanta as a part of the whale shark whole genome-sequencing project. Whole genome shotgun se-104 quencing was performed on the Illumina Hiseq 2000 (Illumina Inc., 105San Diego, CA) and GS-FLX (454 Life Sciences, Branford, CT). The mito-106 107 chondrial genome-specific sequences were retrieved from the whole genome shotgun reads in two sequential steps. We first performed de 108 novo assembly of the whole genome shotgun reads using ABySS 109 (Simpson et al., 2009), and then identified the mitochondrial genome-110 specific contigs using BLAST taking brown-banded bamboo shark 111 112 Chiloscyllium punctatum complete mitogenome (GenBank ID JQ082337) as a query sequence (Chen et al., 2013g). These contigs were then 113 used as a reference against which entire shotgun reads were re-114 mapped using BWA short read aligner (Li and Durbin, 2009, 2010). 115Approximately 299,687 mapped reads (1072 reads from 454, mean 116 117 read length 500 bp; and 298,615 reads from Illumina, mean read length 118 100 bp) were extracted at this step, which resulted into a single contig of 16,875 bp upon *de novo* assembly by Velvet (Zerbino and Birney, 1192008). Since, like any other vertebrate, the *R. typus* mitochondrial 120control region contains repeat sequence and is highly polymorphic, 121122we also confirmed its size and sequence by PCR and Sanger sequencing methods. 123

The assembled 16,875 bp contig was annotated using MitoAnnotator, 194 a web-based tool developed specifically for fish mitochondrial genome 125annotation (Wataru et al., 2013). The stand-alone programmes 126RNAmmer (Lagesen et al., 2007) and tRNAscan-SE (Lowe and Eddy, 1271997) were used to confirm rRNA and tRNA annotation results, 128respectively. The boundaries of the predicted genes were also con-129firmed by sequence comparisons with the annotated mitogenome of 130131 C. punctatum (Chen et al., 2013g). The R. typus mitogenome genome has been deposited in NCBI GenBank database under accession number 132 KF679782. 133

Comparison of *R. typus* mitogenome with other available 134 mitogenomes of the members of the orders Orectolobiformes 135 (C. punctatum, C. griseum, C. plagiosum and Orectolobus japonicas), 136 Lamniformes (M. pelagios, Mitsukurina owstoni, Alopias superciliosus, 137 Carcharodon carcharias and Isurus oxyrinchus) and Carcharhiniformes 138 (Pseudotriakis microdon, Mustelus manazo, Scyliorhinus canicula, 139 Scoliodon macrorhynchos, Carcharhinus obscurus, Glyphis glyphis, 140 Galeocerdo cuvier and Sphyrna lewini) were made using CGView 141 Comparison Tool (CCT). The NCBI GenBank ID and sizes of these 142 mitogenomes are listed in Table 1. CCT uses BLAST to compare a query 143 genome with all other genomes and then presents the results as a 144 circular map (Grant et al., 2012). We also reconstructed protein-based 145 maximum likelihood (ML) and Bayesian phylogenies to investigate 146 the evolutionary relationships amongst these 18 shark species. All 13 147 protein sequences from each species were concatenated and aligned 148 using MUSCLE programme (Edgar, 2004). For ML analysis we used 149 RAxML version 7.0.4 and inference was made assuming MtMAM + G 150amino acid substitution model based on the Akaike information 151 criterion (AIC) suggested by PROTTEST, a best-fit evolutionary model 152 predictor for protein alignment data (Abascal et al., 2005; Stamatakis, 153 2006). The branch support of the ML tree was assessed by non- 154 parametric bootstrapping method with 500 pseudo-replicates 155 (Felsenstein, 1985). Bayesian analysis was performed in MrBayes 156 version 3.2, under the same evolutionary model used for ML analysis 157 (Ronquist et al., 2012). Two independent runs of 50,000 generations 158 (until average standard deviation of split frequencies < 0.01) each 159 were conducted simultaneously, sampling every 500 generations 160 and discarding 25% of the initial trees as burn-in. Convergence of the 161 likelihood values of the trees from both runs (stationarity of likelihood 162 values) and burn-in frequency were determined by visualising the trace 163 files and also by plotting a graph of likelihood values against number of 164 trees sampled. ML and Bayesian analyses were also carried out under 165 MtREV + G evolutionary model, whilst keeping all other parameters 166 same as describe above. 167

3. Results and discussion

3.1. Characteristics of the R. typus mitogenome

In the present study, we determined the complete mitochondrial 170 genome sequence of the whale shark R. typus by next-generation 171 sequencing methods. A uniform coverage of ~ $1500 \times$ was obtained for 172 most of the mitogenome, except at few places in the control region 173 where the presence of the repeat sequences resulted in slightly lower 174 coverage. The exact size (1225 bp) and sequence of the control region 175 was verified by PCR followed by Sanger sequencing. The assembled 176 mitogenome was 16,875 bp in length, which is in the range of 177 the mitogenome size of its closely related species of the orders 178 Orectolobiformes, Lamniformes and Carcharhiniformes (Table 1). Simi- 179 lar to a typical vertebrate mitogenome, it was comprised of 13 protein- 180 coding genes, two rRNA genes (12S rRNA and 16S rRNA), 22 tRNA genes 181 and a putative control region (or displacement loop) between tRNAPro 182 and tRNA^{Phe} (Table 2). Eight of the 22 tRNAs (tRNA^{Gln}, tRNA^{Ala}, tRNA^{Asn}, 183 tRNA^{Cys}, tRNA^{Tyr}, tRNA^{Ser(UGA)}, tRNA^{Glu} and tRNA^{Pro}) and a protein- 184 coding gene ND6 were encoded by the light (L) strand, whilst the re- 185 maining genes were encoded by the heavy (H) strand (Table 2, Fig. 1). 186 All 22 tRNAs were within the size range of 67 to 75 bp, and each of 187 them folded into a typical cloverleaf secondary structure as predicted 188 by tRNAscan-SE. The arrangements of the genes were also similar to a 189 typical vertebrate mitogenome. With the exception of the COI gene, 190 which starts with GTG codon, all other protein-coding genes had the 191 usual ATG start codon. Seven (ND1, COI, ATP8, COIII, ND4L, ND5 and 192 ND6) of the 13 protein-coding genes have TAA stop codon, whilst six 193

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t1.1 Table 1

Shark species compared in this study. t1.1

1.1	Shark species	Order	GenBank ID	Mitogenome size (bp)	Reference
1.1	Rhincodon typus (whale shark)	Orectolobiformes	KF679782	16,875	This study
1.1	Orectolobus japonicus (Japanese wobbegong shark)	Orectolobiformes	KF111729	16,706	Chen et al. (2013c)
1.1	Chiloscyllium griseum	Orectolobiformes	NC_017882	16,755	Chen et al. (2013a)
1.1	Chiloscyllium plagiosum (whitespotted bamboo shark)	Orectolobiformes	NC_012570	16,726	Unpublished
1.1	Chiloscyllium punctatum (brown-banded bamboo shark)	Orectolobiformes	JQ082337	16,703	Chen et al. (2013g)
1.1	Alopias superciliosus (bigeye thresher shark)	Lamniformes	KC757415	16,719	Chang et al. (2013c)
1.1	Carcharodon carcharias (great white shark)	Lamniformes	KC914387	16,744	Chang et al. (2013b)
1.1	Isurus oxyrinchus (shortfin mako shark)	Lamniformes	KF361861	16,701	Chang et al. (2013d)
1.1	Megachasma pelagios (megamouth shark)	Lamniformes	KC702506	16,694	Chang et al. (2013a)
1.1	Mitsukurina owstoni (goblin shark)	Lamniformes	EU528659	17,743	Unpublished
1.1	Pseudotriakis microdon (false catshark)	Carcharhiniformes	AB560493	16,700	Tanaka et al. (2013)
1.1	Mustelus manazo (starspotted smooth-hound shark)	Carcharhiniformes	AB015962	16,707	Cao et al. (1998b)
1.1	Scyliorhinus canicula (small-spotted catshark),	Carcharhiniformes	Y16067	16,697	Delarbre et al. (1998)
1.1	Scoliodon macrorhynchos (Pacific spadenose shark)	Carcharhiniformes	JQ693102	16,693	Chen et al. (2013d)
1.1	Carcharhinus obscurus (dusky shark)	Carcharhiniformes	KC470543	16,706	(Blower et al., 2013)
1.1	Glyphis glyphis (speartooth shark)	Carcharhiniformes	KF006312	16,702	Chen et al. (2013b)
1.1	Sphyrna lewini (scalloped hammerhead shark)	Carcharhiniformes	JX827259	16,726	Chen et al. (2013e)
1.1	Galeocerdo cuvier (tiger shark)	Carcharhiniformes	KF111728	16,703	Chen et al. (2013f)

genes have incomplete stop codons either TA (ND2, ATP6 and Cytb) or T 194 195(COII, ND3 and ND4). The overall GC content of R. typus mitogenome 196 is ~38%.

The control region of mitogenome is a widely used molecular 197 marker in population genetic studies (Ahonen et al., 2009; Castro 198 et al., 2007). It consists of tandem repeat sequences and has been 199

Table 2 t2.2

Location and arrangement of genes on the 16,875 bp R. typus mitogenome. t2.2

Gene	Strand ^a	Gene					Intergenic space
		From (b)	To (bp)	Size (bp) ^b	Start codon	Stop codon ^c	
tRNA ^{Phe}	Н	1	70	70			
12S rRNA	Н	71	1025	955			
tRNA ^{Val}	Н	1026	1097	72			
16S rRNA	Н	1098	2784	1687			
tRNA ^{Leu (UAA)}	Н	2785	2859	75			
ND1	Н	2860	3834	975	ATG	TAA	3
tRNA ^{Ile}	Н	3838	3907	70			
tRNA ^{GIn}	L	3908	3979	72			
tRNA ^{Met}	Н	3980	4048	69			
ND2	Н	4049	5094	1046	ATG	TA-	
tRNA ^{Trp}	Н	5095	5163	69			1
tRNA ^{Ala}	L	5165	5233	69			•
tRNA ^{Asn}	L	5234	5306	73			
OL ^e	-	5307	5339	33			
tRNA ^{Cys}	L	5340	5406	67			1
tRNA ^{Tyr}	L	5408	5477	70			1
COI	H	5479	7035	1557	GTG	TAA	3
tRNA ^{Ser (UGA)}	L	7039	7109	71	010	IAA	5
tRNA	H	7039	7184	70			2
COII	Н	7187	7877	691	ATG	T-	2
tRNA ^{Lys}		7878			AIG	1-	1
	Н		7951	74	ATC	T A A	1
ATP8	Н	7953	8120	168	ATG	TAA	-10
ATP6	Н	8111	8793	683	ATG	TA-	0
COIII	Н	8794	9579	786	ATG	TAA	2
tRNA ^{Gly}	Н	9582	9651	70	1770	-	
ND3	Н	9652	10,000	349	ATG	Τ-	
tRNA ^{Arg}	Н	10,001	10,069	69			_
ND4L	Н	10,070	10,366	297	ATG	TAA	-7
ND4	Н	10,360	11,737	1378	ATG	T-	3
tRNA ^{His}	Н	11,741	11,809	69			
tRNA ^{Ser (GCU)}	Н	11,810	11,876	67			
tRNA ^{Leu (UAG)}	Н	11,877	11,948	72			
ND5	Н	11,949	13,787	1839	ATG	TAA	-18
ND6	L	13,770	14,294	525	ATG	TAA	
tRNA ^{Glu}	L	14,295	14,364	70			2
Cytb	Н	14,367	15,511	1145	ATG	TA-	
tRNA ^{Thr}	Н	15,512	15,581	70			
tRNA ^{Pro}	L	15,582	15,650	69			
D-loop	-	15,651	16,875	1225			

^a H, heavy strand; L, light strand. t2.2

b Includes stop codon also. t2.2

t2.2^c T or TA indicates incomplete stop codon. t2.2

^d Numbers indicate nucleotides separating two adjacent genes. Negative numbers indicate overlapping nucleotides.

t2.2 ^e Origin of light strand replication.

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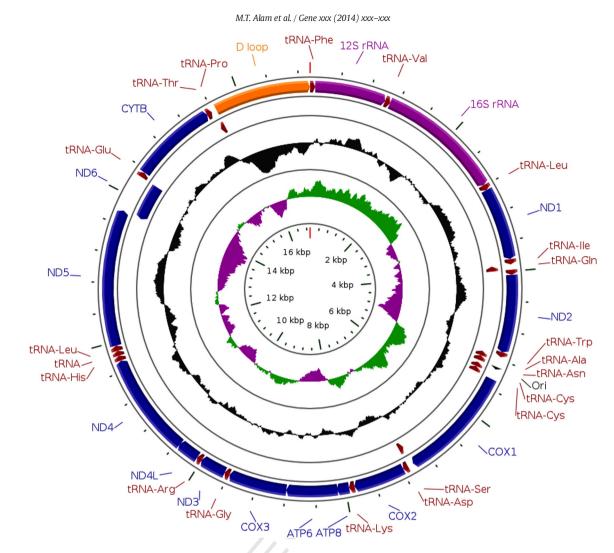


Fig. 1. Gene organisation map of *R. typus* mitogenome. The protein-coding genes, tRNAs, rRNAs, and two non-coding regions are shown in different colours. Direction of the arrows on the map indicates orientation of the genes on the heavy (H) and light (L) strand of the mitogenome. The black ring in the middle shows GC content (outer and inner peaks indicating above or below average GC content, respectively), whereas the innermost purple-green ring shows GC skew [(G - C/G + C), purple if between -1 and 0, green if between 0 and +1] of the mitogenome. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

found to exhibit extensive single-nucleotide and size polymorphism in 200 several species of fish including R. typus (Castro et al., 2007; Ramírez-201 202 Macías et al., 2007). Castro et al (2007) observed 44 haplotypes of the control region with size ranging from 1143 to 1332 bp, in 70 R. typus 203204individuals analysed from around the world, including 9 from Taiwan. The control region of the Taiwanese shark sequenced in our study was 2051225 bp long, and is identical to haplotype H37 (a Western Indian 206Ocean shark) and nearly identical (1 bp difference) to the haplotypes 207H5 (a Western Indian Ocean shark) and H32 (a Northwest Pacific/ 208 209Taiwanese Ocean shark) reported by Castro et al. (2007). Similarly, 210 Ramírez-Macías et al. (2007) observed 14 different haplotypes of the control region amongst 36 individuals analysed from the Gulf of 211 California, Mexico. Unlike Castro et al., however, that study analysed 212 only 650 bp fragment of the control region (Ramírez-Macías et al., 2007). 213

214 3.2. Comparison with closely related shark species

The mitogenomes of 17 shark species closely related to *R. typus* have been sequenced recently, four from the order Orectolobiformes, five from Lamniformes and eight from Carcharhiniformes (Table 1). The comparative mitogenome analysis revealed that the nucleotide composition, number and arrangement of the genes are same in all the shark species compared. However, the *R. typus* mitogenome was slightly longer than the mitogenomes of other shark species because of the longer control region. The sequence identity between R. typus 222 and other shark species varied between 71 and 83% at nucleotide level 223 and 87-93% at protein level (Fig. 2A). As can be seen in the CCT BLAST 224 map, the control region sequences of these sharks are highly divergent 225 (Fig. 2A). As expected, all five orectolobiform sharks, including 226 R. typus, formed a monophyletic clade. Similarly, members of the 227 orders Lamniformes and Carcharhiniformes grouped together in their 228 independent clade on both ML and Bayesian phylogenetic trees 229 (Fig. 2B). As shown, both ML and Bayesian methods produced identical 230 tree topology with strong bootstrap (for ML tree) and posterior 231 probability (for Bayesian tree)-supported branch nodes (Fig. 2B). The 232 branch supports and topology of the tree were identical under both 233 MtMAM + G (ML log likelihood score = -26,692.96) and MtREV + 234 G (ML log likelihood score = -26,981.06) evolutionary models tested. 235 The phylogenetic proximity of R. typus to other shark species compared 236 here was consistent with the positions of these species on a traditional 237 phylogeny and the phylogenies based on few mitochondrial genes 238 (Velez-Zuazo and Agnarsson, 2011). 239

4. Conclusion

The mitogenome of whale shark *R. typus* was found to be a 16,875 bp 241 circle with typical features of a vertebrate mitogenome, consisting of 13 242 protein-coding genes, two rRNA genes, 22 tRNA genes and a control 243

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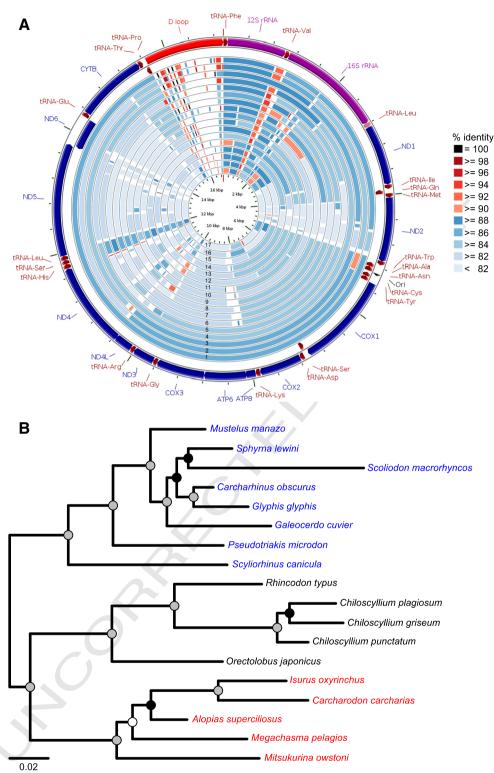


Fig. 2. (A) Graphical map of the BLAST results showing nucleotide identity between *R. typus* mitogenome and 17 other shark species listed in Table 1, as generated by the CGView comparison tool (CCT). CCT arranges BLAST result in an order where sequence that is most similar to the reference (*R. typus*) is placed closer to the outer edge of the map. The rings labelled 1 to 17 indicate BLAST results of *R. typus* mitogenome against *C. punctatum*, *C. plagiosum*, *C. griseum*, *O. japonicus*, *A. superciliosus*, *C. obscurus*, *G. glyphis*, *G. cuvier*, *S. lewini*, *S. macrorhynchos*, *P. microdon*, *M. pelagios*, *M. owstoni*, *M. manazo*, *S. canicula*, *C. carcharias* and *I. oxyrinchus*, respectively. (B) Protein-based phylogenetic tree of 18 shark species. Both ML and Bayesian analyses produced identical tree topologies. The ML bootstrap and Bayesian posterior probability values for each node are indicated (grey circles: bootstrap value \geq 90% and posterior probability of 1; white circle: bootstrap value \leq 90% and posterior probability of 1; white circle: bootstrap value \leq 90% and posterior probability <1).

region or D-loop. Given that the whale shark is the largest extant fish and also a vulnerable species; we hope that the availability of its complete mitogenome sequence will prove an important resource that will help to better understand its biology. These studies should include more complete analyses of phylogenetic relationships with other shark 248 species, conservation genetic studies that more thoroughly address the 249 sub-structure of the global whale shark population, and studies that 250 explore the energy metabolism of this species and how it differs from 251

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other sharks, since it has been proposed that filter feeding sharks exist
on a metabolic knife-edge relative to food abundance. These studies
should also aid in conservation efforts for this species and the habitats
where it occurs, all of which contributes to developing the whale
shark as a charismatic ambassador for the pelagic oceans.

Q3 Acknowledgments

The authors thank those current and former staff at Georgia Aquari-258um whose efforts supported this study, particularly Chris Coco, Chris 259Schreiber, Tonya Clauss, Tim Binder, Ray Davis, Greg Bossart, Harry 260 261 Webb, and the husbandry team of the Ocean Voyager exhibit. We would also like to acknowledge the support of the 454 service facility 262in Branford, CT and the Emory Genome Center (EGC). DNA was 263 264 prepared at the EGC by Chad Haase. Equipment used by the EGC was funded by the Atlanta Clinical and Translational Sciences Institute, the 265 Department of Human Genetics, and the School of Medicine. Funding 266 for sequencing and analysis was provided by the Georgia Aquarium 267268 and Emory University.

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