

# Role of Spousal Involvement in Continuous Positive Airway Pressure (CPAP) Adherence in Patients with Obstructive Sleep Apnea (OSA)

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## **Abstract**

**Introduction:** Little is known about the impact of spousal involvement on continuous positive airway pressure (CPAP) adherence. The aim of this study was to determine whether spouse involvement affects adherence with CPAP therapy, and how this association varies with gender.

**Methods:** 194 subjects recruited from Apnea Positive Pressure Long Term Efficacy Study (APPLES) completed the Dyadic Adjustment Scale (DAS). The majority of participants were Caucasian (83%), and males (73%), with mean age of 56 years, mean BMI of 31 kg/m<sup>2</sup>. & 62% had severe OSA. The DAS is a validated 32-item self-report instrument measuring dyadic consensus, satisfaction, cohesion, and affectional expression. A high score in the DAS is indicative of a person's adjustment to the marriage. Additionally, questions related to spouse involvement with general health and CPAP use were asked. CPAP use was downloaded from the device and self-report, and compliance was defined as usage  $\geq$  4 h per night.

**Results:** There were no significant differences in overall marital quality between the compliant and noncompliant subjects. However, level of spousal involvement was associated with increased CPAP adherence at 6 months (p=0.01). After stratifying for gender these results were significant only among males (p=0.03). Three years after completing APPLES, level of spousal involvement was not associated with CPAP compliance even after gender stratification.

**Conclusion:** Spousal involvement is important in determining CPAP compliance in males in the 1<sup>st</sup> 6 months after initiation of therapy but is not predictive of longer-term adherence. Involvement of the spouse should be considered an integral part of CPAP initiation procedures.

### **Abbreviations List**

AASM: American Academy of Sleep Medicine

AHI: Apnea Hyponea Index

APPLES: Apnea Positive Pressure Long Term Efficacy Study

BMI: Body Mass Index

CPAP: Continuous positive airway pressure

DAS: Dyadic Adjustment Scale

EEG: Electroencephalogram

EMG: Electromyograms

EOG: Electroocculogram

OSA: Obstructive Sleep Apnea

PSG: polysomnography

### ***Introduction***

Obstructive Sleep apnea (OSA) is characterized by repetitive episodes of upper airway closure during sleep resulting in oxygen desaturation and frequent arousals. In addition to cardiovascular comorbidities, OSA has been linked to poor quality of life, depression and motor vehicle accidents. Recent data suggest an increase in the prevalence of OSA for both men and women (34% and 17.4% respectively) (1).

Continuous positive airway pressure (CPAP) is the treatment of choice for OSA. Poor adherence, however, remains a widely recognized problem limiting overall effectiveness of CPAP therapy. Prior studies have identified various factors and strategies to promote CPAP adherence (2). In addition to disease, educational, and technology-specific considerations that can affect CPAP adherence, social and psychological dynamics are important components of adherence as well. Several studies have suggested that partner/spousal dyadic support can play a positive role in the patient's overall health and health behaviors (3,4) . For

example, higher CPAP adherence was reported among patients with bed partners (5), as well as persons who were married versus single (6). Little is known about the influence of spousal involvement on CPAP adherence. One study indicated that perceived spousal support predicted greater CPAP adherence among men with high disease severity; however, pressure to adhere to treatment by the wife was not of benefit and predicted poorer CPAP adherence (7). Another study indicated reduced marital conflict by OSA patients following 3 months of CPAP, suggesting that marital conflict resolution might serve as an intervention for CPAP adherence (8). Despite these hints that dyadic support may play a role in CPAP adherence, participants in both studies by Baron *et al.* (7,8) consisted primarily of men, and the studies focusing on CPAP adherence by Lewis *et al.* (5) and Gagnadoux *et al.* (6) included only men. Thus, the aim of the current study was to determine whether spouse involvement affects CPAP adherence and how this association differs by gender using data from a large randomized trial of CPAP versus sham CPAP to treat OSA.

## ***Methods***

### ***Study Population and Protocol***

The Apnea Positive Pressure Long-term Efficacy Study (APPLES) was a 6-month multicenter, randomized, double-blinded, 2-arm, sham-controlled, intention-to-treat study of CPAP efficacy on three domains of neurocognitive function in OSA. Three of the 5 APPLES Clinical Centers, the University of Arizona, Stanford University and St. Luke's Hospital (Chesterfield, MO) participated in this ancillary study. A detailed description of the protocol has previously been published (9). Briefly, participants were either recruited through local advertisement, or from attending sleep clinics for evaluation of possible OSA. Symptoms indicative of OSA were used to prescreen potential participants. The initial clinical evaluation included administering informed consent, screening questionnaires, a history and physical examination, and a medical assessment by a study physician. Participants subsequently returned 2-4 weeks later for a 24-h sleep laboratory visit, during which polysomnography (PSG) was performed to confirm the diagnosis, followed by a day of neurocognitive, mood, sleepiness, and quality of life survey testing. Inclusion and exclusion criteria have been published previously and included age  $\geq 18$  years and a clinical diagnosis of OSA as defined by American Academy of Sleep Medicine (AASM) criteria. Only participants with an apnea hypopnea index

(AHI)  $\geq 10$  by PSG were randomized to continue in the APPLES study. Exclusion criteria were previous treatment for OSA with CPAP or surgery, oxygen saturation on baseline PSG  $<75\%$  for  $>10\%$  of the recording time, history of motor vehicle accident-related to sleepiness within the past 12 months, presence of chronic medical conditions, use of various medications known to affect sleep or neurocognitive function, and various health and social factors that may impact standardized testing procedures (e.g., shift work).

Following the PSG, participants with an AHI  $\geq 10$  who met other enrollment criteria were randomized to CPAP or sham CPAP for continued participation in APPLES. After randomization, participants returned to the sleep laboratory for a CPAP or sham CPAP titration PSG. Subsequent assessments were made at 2, and 6 months post-randomization at which time a test battery was re-administered. At the conclusion of their 6-month post-randomization evaluations, each participant was informed of their treatment group assignment and offered CPAP treatment going forward. Approximately 36 months after the conclusion of APPLES, participants were sent the Dyadic Adjustment Scale (DAS) questionnaire with the addition of several additional questions related to health.

#### Assessment of Spouse involvement

Inclusion in the current analysis required that subjects were married during the APPLES study and remained married at the time of questionnaire administration. The DAS (10), a quality of marriage questionnaire, was utilized to assess marital relationship. It is a 32-item self-report instrument that incorporates four dimensions, including a 13 item dyadic consensus, 10 item dyadic satisfaction, 5 item dyadic cohesion, and 4 item affectional expression. A high DAS score is indicative of a person's positive adjustment to the marriage. Additionally, questions related to spouse involvement with general health and CPAP use were asked (See [Appendix](#) for full questionnaire).

#### Polysomnography

The PSG montage included monitoring of the electroencephalogram (EEG, C<sub>3</sub>-A<sub>2</sub> or C<sub>4</sub>-A<sub>1</sub>, O<sub>2</sub>-A<sub>1</sub> or O<sub>1</sub>-A<sub>2</sub>), electro-oculogram (EOG, ROC-A<sub>1</sub>, LOC-A<sub>2</sub>), chin and anterior tibialis electromyograms (EMG), heart rate by 2-lead electrocardiogram, snoring intensity (anterior neck microphone), nasal pressure (nasal cannula), nasal/oral thermistor, thoracic and abdominal movement (inductance

plethysmography bands), and oxygen saturation (pulse oximetry). All PSG records were electronically transmitted to a centralized data coordinating and PSG reading center. Sleep and wakefulness were scored using Rechtschaffen and Kales criteria (11). Apneas and hypopneas were scored using American Academy of Sleep Medicine Task Force (1999) diagnostic criteria (12, 13). Briefly, an apnea was defined by a clear decrease ( $> 90\%$ ) from baseline in the amplitude of the nasal pressure or thermistor signal lasting  $\geq 10$  sec. Hypopneas were identified if there was a clear decrease ( $> 50\%$  but  $\leq 90\%$ ) from baseline in the amplitude of the nasal pressure or thermistor signal, or if there was a clear amplitude reduction of the nasal pressure signal  $\geq 10$  sec that did not reach the above criterion, but was associated with either an oxygen desaturation  $> 3\%$  or an arousal.

Obstructive events were scored if there was persistence of chest or abdominal respiratory effort. Central events were noted if no displacement occurred on either the chest or abdominal channels. Sleep apnea was classified as mild (AHI 10.0 to 15.0 events per hour), moderate (AHI 15.1 to 30.0 events per hour), and severe (AHI more than 30 events per hour) (12).

#### CPAP adherence

The primary dependent variable of interest was CPAP adherence and was assessed by nightly use of CPAP at the 6-months follow up visit. CPAP use was downloaded from the device and the participants were considered to be adherent if the mean CPAP use was  $> 4$  hours per night at 6-months. Long-term CPAP adherence was measured as self-reported adherence (hours per night) at the time of the DAS administration.

#### Statistical Analysis

Statistical analyses were performed using STATA (Version 11, StataCorp TX USA). Univariate and multivariate logistic regression models were used to estimate the degree to which variables correlated with CPAP adherence. We examined the association between CPAP adherence and following variables: OSA severity as measured by the AHI, age, baseline body mass index (BMI,  $\text{kg}/\text{m}^2$ ), spousal involvement and the DAS. For these models, dichotomous variables were created for OSA severity (AHI  $< 15$  vs.  $\geq 15$ ), obesity (BMI  $< 30$   $\text{kg}/\text{m}^2$  vs.  $\geq 30$   $\text{kg}/\text{m}^2$ ) and CPAP adherence ( $< 4$  hours/night vs.  $\geq 4$  hours/night). Spousal

involvement was ascertained using a 5 point Lickert scale and analyzed as a continuous variable.

To assess predictors of CPAP adherence we used multiple regression models. Unpaired t-tests were used to assess the effect of gender, age, OSA severity, BMI, and CPAP adherence in both the CPAP and Sham CPAP groups. Data for continuous and interval variables were expressed as mean  $\pm$  SD, and as a percentage for categorical variables. Statistical significance was set at a *P* value <0.05, two-tailed. The variables that produced *P* value of < 0.05 were included in the final model.

### Results

Baseline demographic data on participants (N=194) who completed the DAS are outlined in Table 1.

Table1. Baseline Characteristics of APPLES Participants Who Completed Dyadic Data.

	Compliant N=82		Non Compliant N=112	
	Sham N=28	CPAP N=54	Sham N=56	CPAP N=56
White (%)	23 (82)	44 (81)	46 (82)	49 (87)
Age (SD)	59.6 (9.9)	59 (8.7)	53 (10.5)	53 (10)
Males (%)	19 (68)	45 (83)	39 (69)	40 (71)
Mild (%)	5 (18)	10 (18.5)	7 (12.5)	7 (12.5)
Moderate (%)	7 (25)	10 (18.5)	12 (21)	16 (29)
Severe (%)	16 (57)	34 (63)	37 (66)	33 (59)
BMI (SD)	31 (6.9)	31.3 (5.2)	32 (7.7)	31.4 (6.6)

SD: standard deviation, BMI: Body Mass Index,

The majority of the participants were Caucasian (83%) and males (73%), with mean age of 56 years and a mean BMI of 31 kg/m<sup>2</sup>. Over half of the participants

had severe OSA (62%). Table 2a demonstrates CPAP adherence at 6 months using multivariate analysis.

Table 2A. Multivariate Analysis of Adherence to CPAP or Sham CPAP at 6 Months.

	Overall coefficient (p-value)	Coefficient for Men (p-value)	Coefficient for Women (p-value)
Spouse involvement	0.07 (0.01)*	0.088 (0.03)*	0.08 (0.19)
Age	0.015 (0.0001)*	0.012 (0.002)*	0.02 (0.003)*
OSA	-0.09 (0.06)	-0.07 (0.25)	-0.18 (0.07)
BMI	0.007 (0.23)	0.004 (0.55)	0.012 (0.14)
Gender	-0.04 (0.62)	-	-

BMI: Body Mass Index, OSA: Obstructive Sleep Apnea, \*P < 0.05

The CPAP adherence was independently associated with advanced age ( $p < 0.01$ ) and increasing spousal involvement ( $p < 0.01$ ). After stratifying by treatment group, the association between CPAP adherence and spousal involvement was seen only amongst the CPAP group (Table 2b).

Table 2B. Multivariate Analysis of Adherence to CPAP at 6 Months.

	Overall coefficient (p-value)	Coefficient for Men (p-value)	Coefficient for Women (p-value)
Spouse involvement	0.11 (0.01)	0.13 (0.01)*	0.08 (0.43)
Age	0.02 (0.003)	0.02 (0.006)*	0.02 (0.25)
OSA	-0.09 (0.18)	-0.07 (0.31)	-0.12 (0.59)
BMI	0.01 (0.29)	0.01 (0.47)	0.01 (0.50)
Gender	-0.09 (0.43)		

BMI: Body Mass Index, OSA: Obstructive Sleep Apnea, \*P<0.05

Adjustment to marriage as reflected by items on the DAS questionnaire, however, was not associated with CPAP adherence.

Notably, after gender stratification, significant association between spousal involvement and CPAP adherence was limited to men alone (p=0.03). Three years after completing APPLES, 82 participants were still adherent by self-report (Table 3).

Table 3. Multivariate Analysis CPAP Adherence 3 years After Completing APPLES Study (based on subjective adherence).

	Overall coefficient (p-value)	Coefficient for Men (p-value)	Coefficient for Women (p-value)
Spouse involvement	0.15 (0.13)	0.15 (0.21)	0.21 (0.38)
Age	0.01 (0.57)	0.01 (0.52)	0.001 (0.97)
OSA	-0.3 (0.06)	-0.26 (0.17)	-0.4 (0.31)
BMI	0.015 (0.4)	0.01 (0.67)	0.016 (0.61)
Gender	0.09 (0.7)	-	-

BMI: Body Mass Index, OSA: Obstructive Sleep Apnea

At this time point, spousal involvement was not associated with CPAP adherence even after gender stratification.

### ***Discussion***

This multicenter double blind study demonstrates that spousal involvement is important in determining CPAP adherence during the initial treatment period, but has no effect on long-term adherence. Notably, the positive results for adherence were seen only among husbands using CPAP, but there was no effect on wives using CPAP. In line with previous research, we also found that increase in age was associated with greater CPAP adherence among both men and women. Prior studies have indicated that married versus single, CPAP patients with bed partners, perceived spousal support, and quality of marital relationship all play a role in promoting CPAP adherence (5-8). Although these studies support the idea of social support as a conduit to CPAP adherence, the role of spousal involvement was not clear, sample sizes in the spousal role studies were small, and CPAP users were men, which reduces generalizability.

Baron *et al.* (3) used a spousal involvement measure, including positive and negative collaboration and one-sided items one week after beginning CPAP treatment (N=23 married men on CPAP), in addition to an interpersonal measure of supportive behaviors at 3 months to evaluate interpersonal qualities (n=16/23 responded). These investigators found that perceived collaborative involvement was related to greater CPAP adherence at 3 months ( $p=0.002$ ). These findings are similar to our study in that spousal support, at least for husbands on CPAP, fostered greater adherence during the initial period of treatment.

Our observations and those of Baron *et al.* (14) fit well with the theories of motivation. The fundamental fact of motivation and adherence in healthcare is that individuals cannot be forced to change their behaviors. The behavior change, in this case the CPAP adherence, may be initiated by extrinsic motivation. External motivation may be rewards, punishments, or pressure from other people, such as family members or healthcare providers. However, extrinsic motivation, such as spousal pressure, is less effective in the long-term. In order to sustain long term behavioral change for CPAP adherence one needs to rely on intrinsic motivation

which can be strengthened by examining the decisional balance of the ratio between a patient's perceived pros and cons for engaging in a health behavior. The decisional balance has been found to be predictive of adherence to treatment in a variety of healthcare settings.

Our study also found increased age as an independent predictor of CPAP adherence at 6-months, yet the results were not significant for long-term adherence. Previous studies have also demonstrated conflicting results on the association between age and CPAP adherence. Sin *et al.* (15) found that a 10 year increment in age resulted in  $0.24 \pm 0.11$ -h increase in CPAP use. Alternatively, McArdle and colleagues (16) found that older patients were less likely to use their CPAP machines. Similarly, Janson *et al.* (17) found older age to be an independent risk factor for discontinuing CPAP treatment, and this finding was thought to be secondary to nasal, or pharyngeal problems. In another study, Russo-Magno *et al.* (18) found that adherent patients were younger in age compared to non-adherents, and increasing age made CPAP adherence difficult. Cognitive and physical impairments were thought to be contributing to difficulty with CPAP adherence. Mean age in this cohort was 73 years, which was higher than the mean age in our study. It is possible that these inconsistent associations of age on CPAP adherence may be related to the length of follow-up as well. With longer durations, the effect of time on comorbidities in the elderly may make adherence more difficult.

To our knowledge, this is the first study to demonstrate a gender bias in support for CPAP adherence. While men on CPAP were significantly more likely to adhere with support from their wives, there was no such effect for married women on CPAP, suggesting little to no support from their husbands. Although the effect of gender on CPAP adherence and spousal involvement has not been studied, previous research suggests that women are more likely to be the health caregivers in families, and thus exercise more social control (19). It is the social norm and expectation that women are often involved in their husbands' health. As indicated in the literature regarding type 2 diabetes (20), male patients and their wives shared an expectation that the wives will be involved in their care while female patients and their husbands did not have similar expectations. We can support this finding in relationship to CPAP adherence.

Not surprisingly, spousal support for adherence did not apply to sham CPAP. This suggests that if an intervention is not having any perceived benefit, spousal involvement will have little impact on adherence.

There are several limitations to this study. A major limitation is self-reported long term CPAP adherence. Additionally, our study was limited to husbands and wives on CPAP completing the DAS; their respective spouses were not asked about their degree of involvement. Moreover, it is unclear which components of spouse involvement played a role in CPAP adherence. We cannot assume that patients welcome all types of spouse involvement. Spouse involvement may be perceived by patients as control and nagging and may not be advantageous for all patients (21). In the context of chronic illness significant differences are demonstrated across couples in expectations for spouse involvement (20).

Despite these limitations, to our knowledge this is the first study of its type that examined spousal support for both men and women on CPAP supporting generalizability of our findings. Other strengths of this study include a large number of participants across multiple sites, randomized CPAP and Sham CPAP control groups, and objective documentation of CPAP adherence at 6 months. Dyadic coping has been utilized in other health related interventions and can also be used to improve CPAP adherence. Ye *et al.* (4) has provided a comprehensive review of dyadic support in CPAP adherence, including methodological considerations, recommendations for future research, and implications for interventions. In tandem with the Ye *et al.* (4) review, our findings, particularly with respect to the need for spousal support of wives on CPAP, can provide a springboard for future clinical/intervention studies to promote CPAP adherence for men and women, to develop gender-relevant training needs to support their spouse on CPAP, and to determine spousal support activities that are the most efficient at promoting CPAP adherence.

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