

Southside Dental Arts, LLC

NOTICE OF PRIVACY PRACTICES

Effective July 1, 2013

THIS NOTICE DESCRIBES HOW HEALTH INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY. THE PRIVACY OF YOUR HEALTH INFORMATION IS IMPORTANT TO US.

CONTACT INFORMATION

For more information about our privacy practices, to discuss questions or concerns, or to get additional copies of this notice, please contact our Privacy Officer:

Dr. Marie Farrar
204 W Main St
Chattanooga, TN 37408

telephone: 423-531-4533
fax: 423-265-8206
email: info@smilestudio204.com

OUR LEGAL DUTY

We are required by law to maintain the privacy of your protected health information. We are also required to give you this Notice about our privacy practices, our legal duties, and your rights concerning your health information. We must follow the privacy practices that are described in this notice while it is in effect. This notice takes effect on the date set forth at the top of this page, and will remain in effect until we replace it.

We reserve the right to change our privacy practices and the terms of this notice at any time, provided such changes are permitted by applicable law. We reserve the right to make the changes in our privacy practices and the new terms of our notice applicable for all health information that we maintain, including health information we created or received before we made the changes. We may amend the terms of this notice at any time. If

we make a material change to our policy practices, we will provide to you the revised notice. Any revised notice will be effective for all health information that we maintain. The effective date of a revised notice will be noted. A copy of the current notice in effect will be available in our facility and on our website. You may request a copy of our current notice at any time.

We collect and maintain oral, written, and electronic information to administer our business and to provide products, services, and information of importance to our patients. We maintain physical, electronic, and procedural security safeguards in the handling and maintenance of our patients' health information, in accordance with applicable state and federal standards, to protect against risks such as loss, destruction, or misuse.

USES AND DISCLOSURES OF HEALTH INFORMATION

Treatment: We may disclose your health information, without your prior approval, to another dentist, a physician or other healthcare provider working in our facility or otherwise providing you treatment for the purpose of evaluating your health, diagnosing medical conditions, and providing treatment. For example, your health information may be disclosed to an oral surgeon to determine whether surgical intervention is needed.

Payment: We provide dental services. Your health information may be used to seek payment from your insurance plan. For example, your insurance plan may request and receive information on dates that you received services at our facility in order to allow your employer to verify and process your insurance claim.

Health Care Operations: We may use and disclose your health information, without your prior approval, for health care operations. Health care operations include:

- health care quality assessment and improvement activities
- reviewing and evaluating dental care provider performance, qualifications and competence, health care training programs, provider accreditation, certification, licensing and credentialing activities.
- conducting or arranging for medical reviews, audits, and legal services, including fraud and abuse detection and prevention; and
- business planning, development, management, and general administration, including customer service, complaint resolution and billing, de-identifying medical information, and creating limited data sets for health care operations, public health activities, and research.

We may disclose your health information to another dental or medical provider or to your health plan subject for federal privacy laws, as long as the provider or plan has or had a relationship with you and the medical information is for that provider's or plan's health care quality assessment and improvement activities, competence and qualification evaluation and review activities, or fraud and abuse detection and prevention.

Your Authorization: You (or your legal personal representative) may give us written authorization to use your health information or to disclose it to anyone for any purpose. Once you give us authorization to release your

health information, we cannot guarantee that the person to whom the information is provided will not disclose the information. You may take back or "revoke" your written authorization in writing, except if we have already acted based on your authorization. Your revocation will not affect any use or disclosures permitted by your authorization while it was in effect. Unless you give us a written authorization, we will not use or disclose your health information for any purpose other than those described in this notice. We will obtain your authorization prior to using your medical information for marketing, fundraising purposes, or for commercial use. Once authorized, you may opt out of any of these communications.

Family, Friends and Others Involved in Your Care or Payment for Care: We may disclose your health information to a family member, friend or any other person you involve in your care or payment for your health care. We will disclose only the medical information that is relevant to the person's involvement.

We may use or disclose your name, location, and general condition to notify, or assist an appropriate public or private agency to locate and notify, a person responsible for your care in appropriate situations, such as medical emergency or during disaster relief efforts.

We will provide you with an opportunity to object to these disclosures, unless you are not present or incapacitated or it is an emergency or disaster relief situation. In those situations, we will use our professional judgment to determine whether disclosing your medical information is in your best interest under the circumstances.

Health-Related Products and Services: We may use your health information to communicate with you about health-related products, benefits, services, payment for those services, and treatment alternatives.

Reminders: We may use or disclose your health information to send you reminders about your dental care, such as appointment reminders.

Plan Sponsors: If your dental insurance coverage is through an employer's sponsored group dental plan, we may share summary health information with the plan sponsor.

Public Health and Benefit Activities: We may use and disclose your medical information, without your permission, when required by law for the following kinds of public health and public benefit activities:

- for public health, including to report disease and vital statistics, child abuse, and adult abuse, neglect or domestic violence;
- to avert a serious and imminent threat to health or safety;
- for health care oversight, such as activities of state insurance commissioners, licensing and peer review authorities, and fraud prevention agencies;
- for research;
- in response to court and administrative orders and other lawful process;
- to law enforcement officials with regard to crime victims and criminal activities;
- to coroners, medical examiners, funeral directors, and organ procurement organizations;
- to the military, to federal officials for lawful intelligence, counterintelligence, and national security activities, and to correctional institutions and law enforcement regarding persons in lawful custody; and
- as authorized by state worker's compensation laws.

Business Associates: We may disclose your medical information to our business associates that perform functions on our behalf or provide us with

services if the information is necessary for such functions or services. Our business associates are required, under contract with us, to protect the privacy of your information and are not allowed to use or disclose any information other than as specified in our contract.

Data Breach Notification Purposes: We may use your contact information to provide legally-required notices of unauthorized acquisition, access, or disclosure of your health information.

Additional Restrictions on Use and Disclosures: Certain federal and state laws may require special privacy protections that restrict the use and disclosure of certain health information, including highly confidential information about you. "Highly confidential information" may include confidential information under Federal laws governing alcohol and drug abuse information and genetic information as well as state laws that often protect the following types of information:

1. HIV/AIDS;
2. mental health;
3. genetic testing;
4. alcohol and drug abuse
5. sexually transmitted diseases and reproductive health information; and
6. child or adult abuse or neglect, including sexual assault.

PATIENT RIGHTS

Access: You have the right to examine and to receive a copy of your health information, with limited exceptions. We will use the format you request unless we cannot practicably do so. You should submit your request in writing to our Privacy Officer. We will charge you reasonable, cost-based fees for a copy of your medical information, for mailing the copy to you, and for preparing any summary or explanation of your health information requested. Contact our Privacy Officer for information about our fees.

Disclosure Accounting: You have the right to a list of instances in which we disclose your health information for purposes other than treatment, payment, healthcare operations, as authorized by you, and certain other activities. You should submit your request to our Privacy Officer. We will provide you with information about each accountable disclosure that we made during the period for which you request the accounting, except that we are not obligated to account for a disclosure that occurred more than years before the date of your request.

Amendment: You have the right to request that we amend your health information. You should submit your request in writing to our Privacy Officer. We may deny your request only for certain reasons. If we deny your request, we will provide you with a written explanation, and you may have a statement of your disagreement added to your health information. If we accept your request, we will make your amendment part of your medical information and use reasonable efforts to inform others of the amendment who we know may have and rely on the unamended information to your detriment, as well as persons you want to receive the amendment.

Restriction: You have the right to request that we restrict our use or disclosure of your health information for treatment, payment or health care

operations, or with family, friends, or others you identify. Except in limited circumstances, we are not required to agree to your request. But if we do agree, we will abide by our agreement, except in a medical emergency or as required or authorized by law. You should submit your request to our Privacy Officer. Except as otherwise required by law, we must agree to a restriction request if:

1. except as otherwise required by law, the disclosure is to a health plan for purposes of carrying out payment or health care operations (and not for purposes of carrying out treatment); and
2. the medical information pertains solely to a health care item or service for which the health care provider has been paid out of pocket in full by the patient.

Confidential Communication: You have the right to request that we communicate with you about your health information in confidence by means or to locations that you specify. You must submit your request in writing to our Privacy Officer.

Breach Notification: You have the right to receive notice of a breach of your unsecured health information. Breach may be delayed or not provided if so required by a law enforcement official. You may request that notice be provided by electronic mail. If you are deceased and there is a breach of your health information, the notice will be provided to your next of kin or personal representatives if we know the identity and address of such individuals(s).

Electronic Notice: If you receive this notice on our website or by electronic mail (e-mail), you are entitled to receive this notice in written form. Please contact our Privacy Officer to obtain this notice in written form.

COMPLAINTS

If you are concerned that we may have violated your privacy rights, or you disagree with a decision we made about access to your health information, about amending your health information, about restricting our use or disclosure of your health information, or about how we communicate with you about your health information (including a breach notice communication), you may complain to our Privacy Officer. You also may submit a written complaint to the Office for Civil Rights of the U.S.

Department of Health and Human Services, 200 Independence Avenue, SW, Room 509W, Washington, DC 20201. You may contact the Office for Civil Rights' Hotline at 1-800-368-1019.

We support your right to the privacy of your health information. We will not retaliate in any way if you choose to file a complaint with us or with the U.S. Department of Health and Human Services.

WHAT THIS MEANS FOR YOU

We take your privacy seriously. We will never, ever sell patient lists to anyone else for marketing purposes. You have the opportunity to opt out of any electronic newsletters we send. Appointment reminders can be customized if you so desire. We share with insurance companies and other doctors and dentists only what is necessary for your care. We shred any documents with your personal information, and we password protect any documents containing your protected information before sending them via e-mail. All software and third party vendors we use are HIPAA compliant.